

SOLUTIONS FOR CHAPTER 1

Q.1.1 DMS with source probabilities : {0.30 0.25 0.20 0.15 0.10}

$$\begin{aligned} \text{Entropy } H(X) &= \sum_i p_i \log \frac{1}{p_i} \\ &= 0.30 \log 1/0.30 + 0.25 \log 1/0.25 + \dots \\ &= 2.228 \text{ bits} \end{aligned}$$

Q.1.2 Define $D(p \parallel q) = \sum_i p_i \log \frac{q_i}{p_i}$ (1)

p_i, q_i – probability distributions of discrete source X .

$$\begin{aligned} D(p \parallel q) &= \sum_i p_i \log \frac{q_i}{p_i} \leq \sum_i p_i \left(\frac{q_i}{p_i} - 1 \right) \quad [\text{using identity } \ln x \leq x - 1] \\ &= \sum_i (q_i - p_i) = 0 \end{aligned}$$

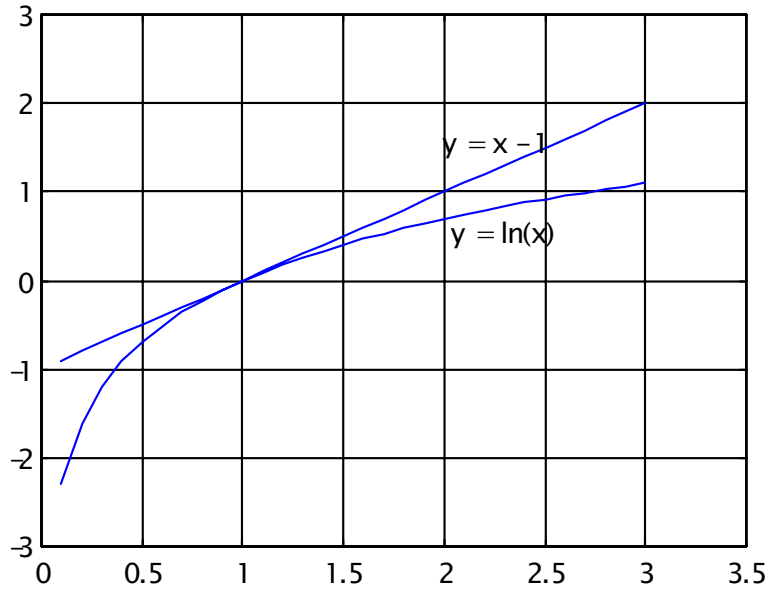
$$\therefore D(p \parallel q) \geq 0$$

Put $q_i = 1/n$ in (1) where $n =$ cardinality of the discrete source.

$$\begin{aligned} D(p \parallel q) &= \sum_i p_i \log p_i + \sum_i p_i \log n \\ &= \sum_i p_i \log p_i + \log n = -H(X) + \log n \geq 0 \\ &\qquad\qquad\qquad -H(X) \leq \log n \end{aligned}$$

$H(X) = \log n$ for uniform probability distribution. Hence proved that entropy of a discrete source is maximum when output symbols are equally probable. The quantity $D(p \parallel q)$ is called the Kullback-Leibler Distance.

Q. 1.3 The plots are given below:



Q 1.4 Consider two probability distributions: $\{p_0, p_1, \dots, p_{K-1}\}$ and $\{q_0, q_1, \dots, q_{K-1}\}$.

We have $\sum_{k=0}^{K-1} p_k \log_2 \left(\frac{q_k}{p_k} \right) = \frac{1}{\ln 2} \sum_{k=0}^{K-1} p_k \ln \left(\frac{q_k}{p_k} \right)$. Use $\ln x \leq 1 - x$,

$$\begin{aligned} \sum_{k=0}^{K-1} p_k \log_2 \left(\frac{q_k}{p_k} \right) &\leq \frac{1}{\ln 2} \sum_{k=0}^{K-1} p_k \left(\frac{q_k}{p_k} - 1 \right) \\ &\leq \frac{1}{\ln 2} \sum_{k=0}^{K-1} (q_k - p_k) \\ &\leq \frac{1}{\ln 2} \left(\sum_{k=0}^{K-1} q_k - \sum_{k=0}^{K-1} p_k \right) = 0 \end{aligned}$$

$$\text{Thus, } \sum_{k=0}^{K-1} p_k \log_2 \left(\frac{q_k}{p_k} \right) \leq 0. \quad (1)$$

$$\text{Now, } I(X; Y) = \sum_{i=1}^n \sum_{j=1}^m P(x_i, y_j) \log \frac{P(x_i, y_j)}{P(x_i)P(y_j)} \quad (2)$$

From (1) and (2) we can conclude (after basic manipulations) that $I(X; Y) \geq 0$. The equality holds if and only if $P(x_i, y_j) = P(x_i)P(y_j)$, i.e., when the input and output symbols of the channel are statistically independent.

Q.1.5 Source X has infinitely large set of outputs $P(x_i) = 2^{-i}$, $i = 1, 2, 3, \dots$

$$\begin{aligned} H(X) &= \sum_{i=1}^{\infty} p(x_i) \log \frac{1}{p(x_i)} = \sum_{i=1}^{\infty} 2^{-i} \log 2^{-i} \\ &= \sum_{i=1}^{\infty} i \cdot 2^{-i} = 2 \text{ bits} \end{aligned}$$

Q.1.6 Given: $P(x_i) = p(1-p)^{i-1}$ $i = 1, 2, 3, \dots$

$$\begin{aligned} H(X) &= -\sum_i p(1-p)^{i-1} \log \{p(1-p)^{i-1}\} \\ &= -\sum_i p(1-p)^{i-1} \{\log p + (i-1) \log(1-p)\} \\ &= -p \log p \sum_{i=1}^{\infty} p(1-p)^{i-1} - p \log(1-p) \sum_i (i-1) (1-p)^{i-1} \\ &= -p \log p \times \frac{1}{p} - p \log(1-p) \times \frac{1-p}{p^2} \\ &= -\log p - \left(\frac{1-p}{p}\right) \log(1-p) = \frac{p \log p - (1-p) \log(1-p)}{p} = \frac{1}{p} H(p) \text{ bits} \end{aligned}$$

Q 1.7 Hint: Same approach as the previous two problems.

Q 1.8 Yes it is uniquely decodable code because each symbol is coded uniquely.

Q 1.9 The relative entropy or Kullback Leibler distance between two probability mass functions $p(x)$ and $q(x)$ is defined as

$$D(p \parallel q) = \sum_{x \in X} p(x) \log \left(\frac{p(x)}{q(x)} \right). \quad (1.76)$$

(i) Show that $D(p \parallel q)$ is non negative.

$$\begin{aligned} \text{Solution: } -D(p \parallel q) &= -\sum_{x \in X} p(x) \log \left(\frac{p(x)}{q(x)} \right) = \sum_{x \in X} p(x) \log \left(\frac{q(x)}{p(x)} \right) \\ &\leq \log \sum_{x \in X} p(x) \frac{q(x)}{p(x)} \quad (\text{from Jensen's Inequality: } Ef(X) \geq f(EX)) \\ &= \log \sum_{x \in X} q(x) = \log(1) = 0. \end{aligned}$$

Thus, $-D(p \parallel q) \leq 0$ or $D(p \parallel q) \geq 0$.

$$(ii) D(p \parallel q) = \sum p(x) \log \frac{p(x)}{q(x)}$$

Symmetry Property:

$$D(p \parallel q) = D(q \parallel p)$$

$$\sum p(x) \log \frac{p(x)}{q(x)} = \sum q(x) \log \frac{q(x)}{p(x)}$$

$$\sum p(x) \log p(x) - \sum p(x) \log q(x) \neq \sum q(x) \log q(x) - \sum q(x) \log p(x)$$

Therefore Kullback Leibler distance does not follow symmetry property.

Triangle Inequality:

$$D(p \parallel q) + D(q \parallel r) \geq D(p \parallel r)$$

$$\sum p(x) \log \frac{p(x)}{q(x)} + \sum q(x) \log \frac{q(x)}{r(x)} \geq \sum p(x) \log \frac{p(x)}{r(x)}$$

On solving this we get

$$\sum (-p(x) + q(x)) \log \frac{q(x)}{r(x)} \geq 0$$

This relation does not hold if $p(x) > q(x)$.

Therefore Kullback Leibler distance does not follow triangle inequality property.

$$\begin{aligned} (iii) I(X;Y) &= \sum p(x,y) I(x;y) \\ &= \sum p(x,y) \frac{\log(x,y)}{\log p(x)p(y)} \\ &= D(p(x,y) \parallel p(x)p(y)) \end{aligned}$$

Q.1.10

a) Compute the entropy of this source.

The entropy is

$$\begin{aligned} -0.4999999 \log_2(0.4999999) - 0.4999999 \log_2(0.4999999) - 0.0000002 \log_2(0.0000002) \\ = 1.000004539 \end{aligned}$$

b) Find an optimal code for this source, and compute its expected codeword length.

One optimal code is the following:

$$\begin{aligned} a_1 &: 0 \\ a_2 &: 10 \\ a_3 &: 11 \end{aligned}$$

Its expected codeword length is

$$0.4999999 \times 1 + 0.4999999 \times 2 + 0.0000002 \times 2 = 1.5000001$$

- c) Find an optimal code for the second extension of this source (ie, for blocks of two symbols), and compute its expected codeword length, and the expected codeword length divided by two.

Here is one optimal code:

| | |
|--------------|----------|
| (a_1, a_1) | : 00 |
| (a_1, a_2) | : 01 |
| (a_2, a_1) | : 10 |
| (a_2, a_2) | : 110 |
| (a_1, a_3) | : 11100 |
| (a_2, a_3) | : 11101 |
| (a_3, a_1) | : 11110 |
| (a_3, a_2) | : 111110 |
| (a_3, a_3) | : 111111 |

Its expected codeword length is approximately 2.2500012, which divided by two is approximately 1.1250006.

- d) Prove (without any tedious calculations) that in order to compress to within 1% of the entropy by encoding blocks of size N from this source, N will have to be at least 5.

When N is less than 5, the 2^N blocks in which all symbols are either a_1 or a_2 will be much more probable than all other blocks. An optimal code for the N -th extension will assign codewords of length N to all but one of these high-probability blocks, and a codeword of length $N + 1$ to the remaining high-probability block. (Other blocks will have codewords of length greater than $N + 1$. The expected codeword length for such a code will be greater than

$$N \times (2^N - 1) \times 0.4999999^N + (N + 1) \times 0.4999999^N = N \times 2^N \times 0.4999999^N + 0.4999999^N$$

The expected codeword length divided by N will be greater than $2^N \times 0.4999999^N + 0.4999999^N / N$. For $N = 1$, $N = 2$, $N = 3$, and $N = 4$, the values of this expression are 1.4999997, 1.1249996, 1.0416660, and 1.0156242. The entropy plus 1% is 1.0100046. Since the expected codeword length divided by N is greater than this for $N < 5$, a block size of at least five will be needed to compress to within 1% of the entropy.

Q.1.11 The codeword lengths are possible if and only if they satisfy Kraft-McMillan inequality, which in this case is

$$\frac{1}{2^3} + \frac{1}{2^3} + \frac{1}{2^3} + \frac{d}{2^8} \leq 1$$

$$\frac{d}{256} \leq \frac{5}{8}$$

$$d \leq 160$$

Q. 1.12 First note that it is a discrete random variable with a valid probability

distribution, since $\sum_n P_n = \sum_{n=2}^{\infty} \frac{1}{An \log^2 n} = 1$.

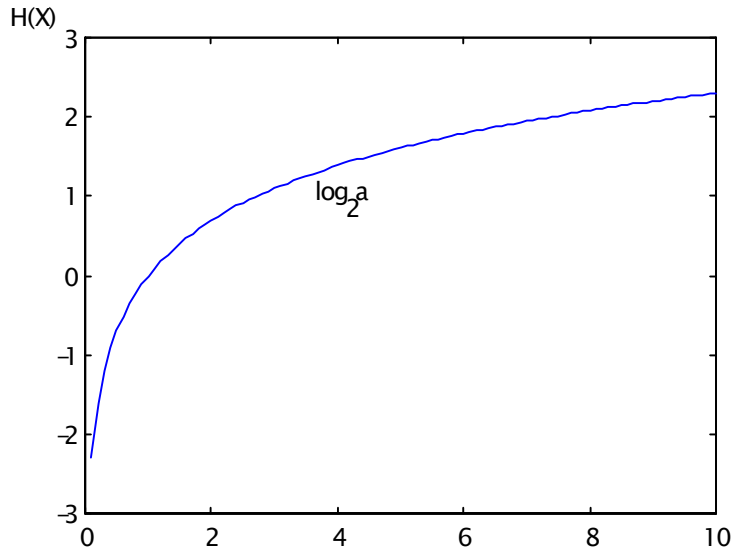
However, $H(X) = \sum_n -P_n \log P_n = +\infty$ (the series does not converge!).

Thus the entropy of a discrete random variable can also be infinite.

$$Q.1.13 P(x) = \begin{cases} a^{-1} & 0 \leq x \leq a \\ 0 & \text{otherwise} \end{cases}$$

$$\begin{aligned} \text{Differential entropy} &= -\int_0^a p(x) \log p(x) dx \\ &= -\int_0^a \frac{1}{a} \log a dx = \log_2 a \end{aligned}$$

The plot is given below. Note that the differential entropy can be negative.



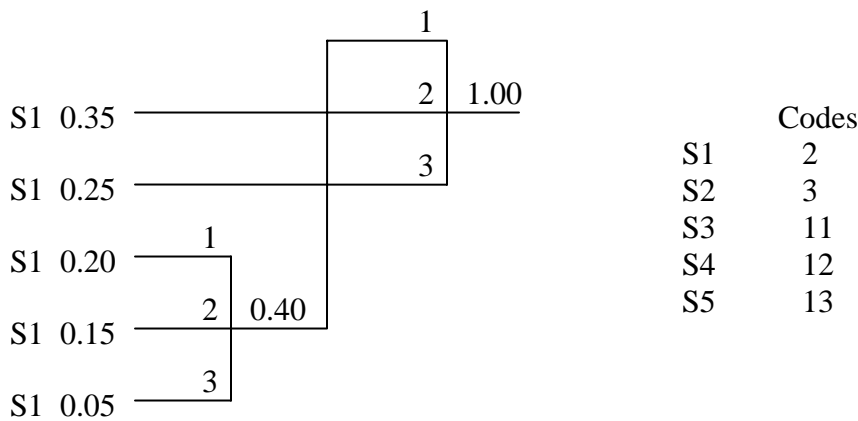
Q.1.14 DMS with source probabilities {0.35, 0.25, 0.20, 0.15, 0.05}

| (i) Huffman code | | Codes |
|------------------|------|-----------|
| S_1 | 0.35 | S_1 00 |
| S_2 | 0.25 | S_2 01 |
| S_3 | 0.20 | S_3 10 |
| S_4 | 0.15 | S_4 110 |
| S_5 | 0.05 | S_5 111 |

(ii) $\bar{R} = \sum_i p_i l_i = 0.35 \times 2 + 0.25 \times 2 + 0.20 \times 2 + 0.15 \times 3 + 0.05 \times 3 = 2.2$
bits.

(iii) $\eta = \frac{H(X)}{\bar{R}} \quad H(X) = \sum p_i \log \frac{1}{p_i} = 2.121$
 $\eta = \frac{2.121}{2.2} = 0.964 = 96.4\%$.

Q.1.15 (i)



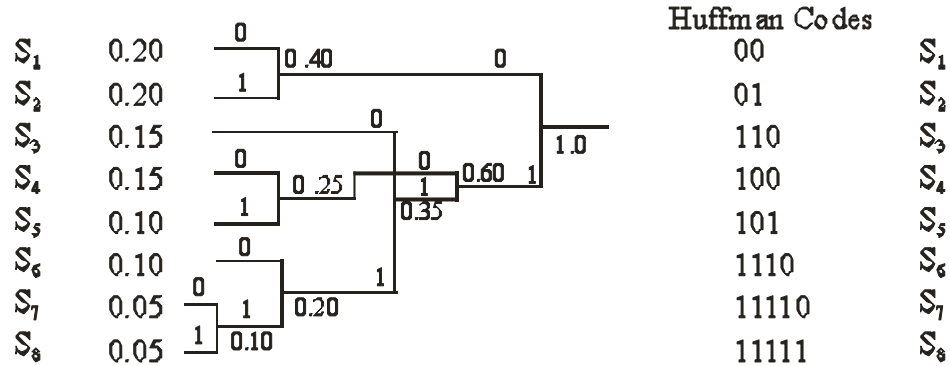
(ii) $\bar{R} = \sum_i p_i l_i = 0.35 + 0.25 + 0.20 \times 2 + 0.15 \times 2 + 0.05 \times 2 = 1.40$ ternary
digits/ symbol

Q.1.16 (i) DMS with source probabilities :

| Symbols | Prob. | Efficient fixed length code |
|----------------|-------|-----------------------------|
| S ₁ | 0.20 | 000 |
| S ₂ | 0.20 | 001 |
| S ₃ | 0.15 | 010 |
| S ₄ | 0.15 | 011 |
| S ₅ | 0.10 | 100 |
| S ₆ | 0.10 | 101 |
| S ₇ | 0.05 | 110 |
| S ₈ | 0.05 | 111 |

$$\text{Average code length} = \bar{R} = \sum_{k=1}^L n(x_k)P(x_k) = 3$$

(ii) Huffman code



$$\bar{R} = \sum_{k=1}^L n(x_k)P(x_k) = 2.9 \text{ bits.}$$

(iii) The entropy $H(X) = -\sum_{i=1}^n P(x_i)\log P(x_i) = 2.8464 \text{ bits}$

Huffman code gives shorter code length ($\eta = 98.15\%$)

| Q.1.17 Symbol | Probability | | Self information | Code |
|---------------|-------------|--|------------------|------|
| x_1 | 0.5 | | 0.5 | 0 |
| x_2 | 0.4 | | 0.528 | 10 |
| x_3 | 0.1 | | 0.332 | 11 |

$$H(X) = 1.36 \text{ bits / symbol pair}$$

$$\bar{R}_1 = 1.5 \text{ bits / symbol pair}$$

$$\eta = 90.66 \%$$

(ii)

| Symbol Pair | Probability | | Huffman Codes | Self-Information |
|-------------|-------------|--|---------------|------------------|
| $x_1 x_2$ | 0.25 | | 10 | 0.5 |
| $x_1 x_2$ | 0.20 | | 00 | 0.4645 |
| $x_1 x_3$ | 0.05 | | 11100 | 0.2160 |
| $x_2 x_1$ | 0.20 | | 01 | 0.4643 |
| $x_2 x_1$ | 0.15 | | 110 | 0.4643 |

$$H(X) = 2.72 \text{ bits / symbol pair}$$

$$\bar{R}_2 = 2.78 \text{ bits / symbol pair}$$

$$\eta = 97.84 \%$$

| Symbol Triple | Self Information | Huffman Codes | Probability |
|--|------------------|---------------|-------------|
| X ₁ X ₁ X ₁ | 0.375 | 000 | 0.125 |
| X ₁ X ₁ X ₂ | 0.3322 | 110 | 0.1 |
| X ₁ X ₂ X ₁ | 0.3322 | 100 | 0.1 |
| X ₂ X ₁ X ₁ | 0.3322 | 101 | 0.1 |
| X ₁ X ₂ X ₂ | 0.2915 | 0100 | 0.08 |
| X ₂ X ₁ X ₂ | 0.2915 | 0110 | 0.08 |
| X ₂ X ₂ X ₁ | 0.2915 | 0111 | 0.08 |
| X ₂ X ₂ X ₂ | 0.2538 | 0010 | 0.064 |
| X ₁ X ₁ X ₃ | 0.1330 | 11100 | 0.025 |
| X ₁ X ₃ X ₁ | 0.1330 | 11101 | 0.025 |
| X ₃ X ₁ X ₁ | 0.1330 | 010100 | 0.025 |
| X ₁ X ₂ X ₃ | 0.11287 | 010101 | 0.02 |
| X ₁ X ₃ X ₂ | 0.11287 | 010110 | 0.02 |
| X ₂ X ₃ X ₁ | 0.11287 | 010111 | 0.02 |
| X ₂ X ₁ X ₃ | 0.11287 | 001100 | 0.02 |
| X ₃ X ₁ X ₂ | 0.11287 | 001101 | 0.02 |
| X ₃ X ₂ X ₁ | 0.11287 | 001110 | 0.02 |
| X ₂ X ₂ X ₃ | 0.09545 | 111100 | 0.016 |
| X ₂ X ₃ X ₂ | 0.09545 | 111101 | 0.016 |
| X ₃ X ₂ X ₂ | 0.09545 | 111110 | 0.016 |
| X ₁ X ₃ X ₃ | 0.0382 | 1111110 | 0.005 |
| X ₃ X ₁ X ₃ | 0.0382 | 1111111 | 0.005 |
| X ₃ X ₃ X ₁ | 0.0382 | 00111110 | 0.005 |
| X ₂ X ₃ X ₃ | 0.03186 | 00111100 | 0.004 |
| X ₃ X ₂ X ₃ | 0.03186 | 00111101 | 0.004 |
| X ₃ X ₃ X ₂ | 0.03186 | 00111110 | 0.004 |
| X ₃ X ₃ X ₃ | 0.00996 | 00111111 | 0.001 |

$$H(X) = 4.0826 \text{ bits / triple}$$

$$\bar{R}_2 = 4.118 \text{ bits / symbol triple}$$

$$\eta = \frac{H(X)}{\bar{R}_3} = 99.14 \%$$

Q.1.18 For a B -symbol block $x_1 x_2 \dots x_B$,

$$H(x_1 x_2 \dots x_B) = - \sum_{j_1=1}^n \sum_{j_2=1}^n \dots \sum_{j_B=1}^n p(x_{j_1} x_{j_2} \dots x_{j_B}) \log p(x_{j_1} x_{j_2} \dots x_{j_B})$$

$$P(x_1 x_2 \dots x_B) = p(x_1) p(x_2|x_1) p(x_3|x_1 x_2) \dots p(x_B|x_1 x_2 \dots x_{B-1})$$

Assuming the B r, vs to be statistically independent

$$\therefore H(x_1 x_2 \dots x_B) = H(x_1) + H(x_2) + \dots + H(x_B)$$

$$= BH(X)$$

Q 1.19 Hint: Apply equation 1.27.

Q.1.20 Lempel Ziv Code for

01001111100101000001010101100110000

Parsing 0,1,00,11,111,001,01,000,0010,10,101,100,110

gives

| Dictionary | Dictionary Location | Contents | Codeword |
|------------|---------------------|----------|----------|
| 1 | 0001 | 0 | 00000 |
| 2 | 0010 | 1 | 00001 |
| 3 | 0011 | 00 | 00010 |
| 4 | 0100 | 11 | 00101 |
| 5 | 0101 | 111 | 01001 |
| 6 | 0110 | 001 | 00111 |
| 7 | 0111 | 01 | 00011 |
| 8 | 1000 | 000 | 00110 |
| 9 | 1001 | 0010 | 01100 |
| 10 | 1010 | 10 | 00100 |
| 11 | 1011 | 101 | 10101 |
| 12 | 1100 | 100 | 10100 |
| 13 | 1101 | 110 | 01000 |

Encoded stream

00000 00001 00010 00101 01001 00111 00011 00110 01100 00100
10101 10100 01000 00110

Q.1.21 (i) Lempel Ziv Code for

133002021113000022122233

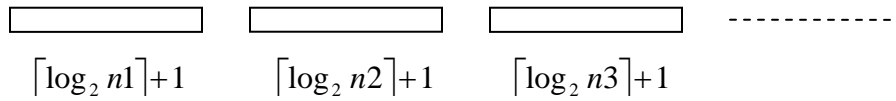
Parsing 13,30,02,021,11,300,00,22,12,223

gives

| Dictionary | Dictionary Location | Contents | Codeword |
|------------|---------------------|----------|----------|
|------------|---------------------|----------|----------|

Q.1.22 (i) For run length code we encode a run in terms of [how many] and [what]. Therefore to encode a run of n bits we require $= \lceil \log_2 n \rceil + 1$ bits.

The run length code is therefore



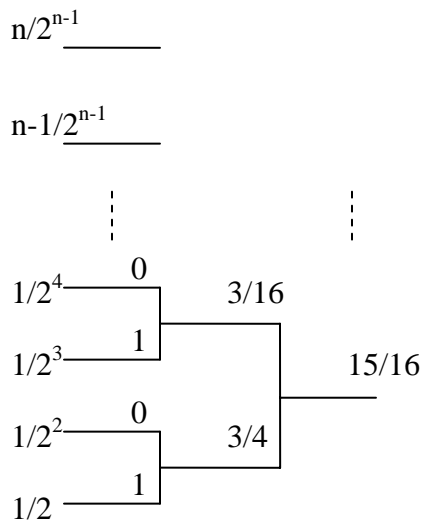
Compression it will provide:

Every run requires $\lceil \log_2 n \rceil + 1$ bits.

$$\begin{aligned} \text{Average length of a run} &= 1 \frac{1}{2} + 2 \frac{1}{2^2} + 3 \frac{1}{2^3} + \dots + (n-1) \frac{1}{2^{(n-1)}} + n \frac{1}{2^{(n-1)}} \\ &= \frac{(n+1)2^{2n} - 2^{3n}}{2^{(n-1)} [2^{n+1} - 2^n]^2} \end{aligned}$$

$$\text{Average Compression} = \frac{\lceil \log_2 n \rceil + 1}{\left[\frac{(n+1)2^{2n} - 2^{3n}}{2^{(n-1)} [2^{n+1} - 2^n]^2} \right]}$$

(ii) Huffman Coding



Q.1.23 For example, let us assume a run of 2^{14} 1's. For first level of run length coding we require $14+1=15$ 1's. For second level of run length coding we need $4+1=5$ 1's. This multi-layer run length coding is useful when we have large runs.

To encode n 1's maximum possible compression can be calculated as:

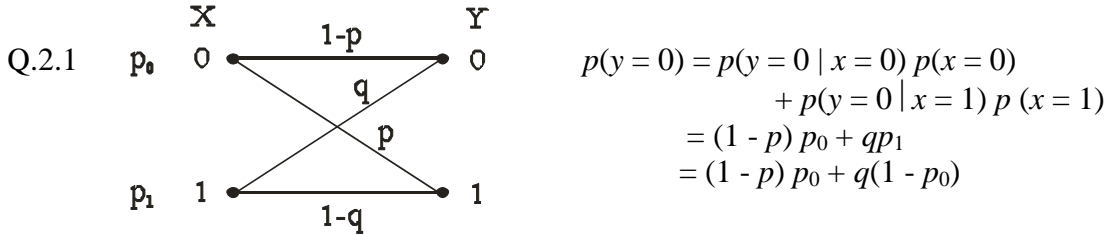
For first run required bits = $\lceil \log_2 n \rceil + 1$

For second run required bits = $\lceil \log \lceil \log_2 n \rceil + 1 \rceil + 1$

So for a run of n 1's maximum possible compression =

$$\frac{n}{\lceil \log_2 \lceil \log_2 \lceil \log_2 n + 1 \rceil + 1 \rceil + 1 \rceil \dots + 1}$$

SOLUTIONS FOR CHAPTER 2



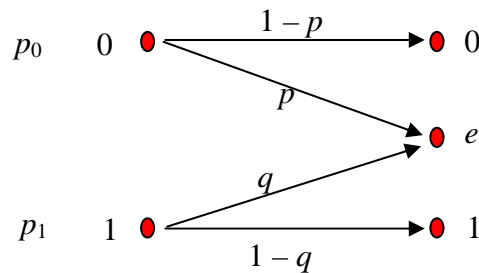
$$p(y = 1) = p(y = 1 | x = 0) p(x = 0) + p(y = 1 | x = 1) p(x = 1)$$

$$= p p_0 + (1 - q) (1 - p_0)$$

$$p(x = 0 | y = 0) = \frac{p(y = 0 | x = 0) p(x = 0)}{p(y = 0)} = \frac{(1 - p) p_0}{(1 - p) p_0 + q(1 - p_0)}$$

$$p(x = 1 | y = 1) = \frac{p(y = 1 | x = 1) p(x = 1)}{p(y = 1)} = \frac{(1 - q)(1 - p_0)}{p p_0 + (1 - q)(1 - p_0)}$$

Q 2.2



$$p(y = 0) = (1 - p) p_0$$

$$p(y = e) = p p_0 + q(1 - p_0)$$

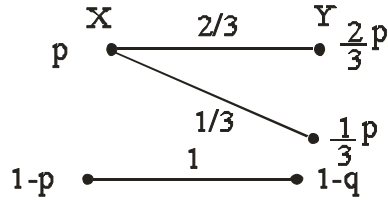
$$p(y = 1) = (1 - q) (1 - p_0)$$

$$I(x; y) = \sum_{i=1}^2 \sum_{j=1}^3 P(y_j | x_i) P(x_j) \log \frac{P(y_j | x_i)}{P(y_j)}$$

$$= (1 - p) p_0 \log \frac{1}{p_0} + p p_0 \log \frac{p}{p p_0 q (1 - p_0)} + (1 - q) (1 - p_0) \log \frac{1}{(1 - p_0)} + q (1 - p_0) \log \frac{q}{p p_0 + q (1 - p_0)}$$

Hint: For capacity find value of p_0 by $\frac{dI(X;Y)}{dp_0} = 0$ and then substitute it in above equation.

Q 2.3 (a)



$$C_A = \max_p I(x; y)$$

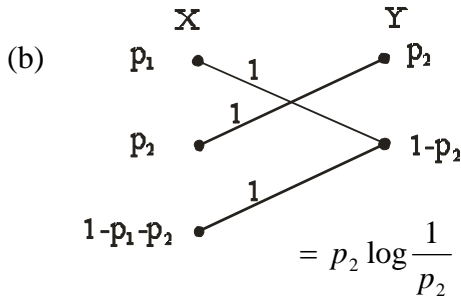
$$I(x; y) = \sum_{i=1}^n \sum_{j=1}^m P(x_i, y_j) \log \frac{P(x_i, y_j)}{P(x_i)P(y_j)}$$

$$\begin{aligned} I(x, y) &= \frac{2}{3} p \log \frac{1}{p} + \frac{1}{3} p \log \frac{1}{p} + (1-p) \log \left(\frac{1}{1-p} \right) \\ &= p \log \frac{1}{p} + (1-p) \log \left(\frac{1}{1-p} \right) = -p \log p - (1-p) \log(1-p) \end{aligned}$$

$$\frac{dI(x, y)}{dp} = -\log p - 1 + \log(1-p) + 1 = 0$$

$$\Rightarrow -\log p = \log(1-p) \Rightarrow p = 1/2$$

$$\therefore C_A = \frac{1}{2} \log 2 + \frac{1}{2} \log 2 = \log 2 = 1 \text{ bit/use.}$$

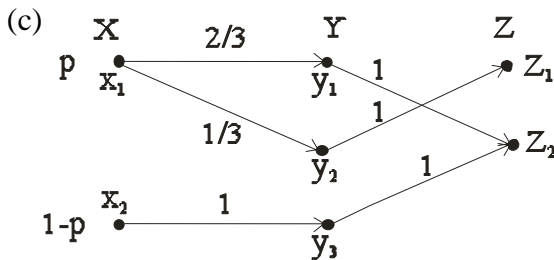


$$\begin{aligned} I(x; y) &= p_2 \log \frac{1}{p_2} + p_1 \log \left(\frac{1}{1-p_2} \right) \\ &\quad + (1-p_1-p_2) \log \left(\frac{1}{1-p_2} \right) \end{aligned}$$

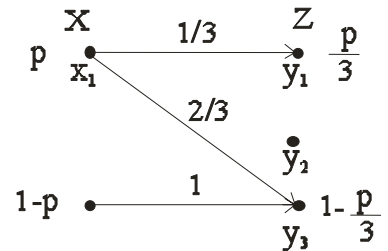
$$= p_2 \log \frac{1}{p_2} + (1-(1-p_2)) \log \frac{1}{1-p_2}$$

$I(x; y)$ is maximized for $p_2 = 1/2$.

$$\therefore C_B = \log 2 = 1 \text{ bit/use.}$$



\equiv



$$H(Z) = -\frac{p}{3} \log \frac{p}{3} - \left(1 - \frac{p}{3}\right) \log \left(1 - \frac{p}{3}\right)$$

$$H(Z|X) = -\frac{p}{3} \log \frac{1}{3} - \frac{2p}{3} \log \frac{2}{3}$$

$$I(X; Z) = H(Z) - H(Z|X)$$

$$I(X; Z) = \frac{1}{3} p \log \frac{1}{p} + \frac{2}{3} p \log \left(\frac{2}{3-p}\right) + (1-p) \log \left(\frac{3}{3-p}\right)$$

$$= \frac{-p}{3} \log p + \frac{2p}{3} \log 2 - \frac{2p}{3} \log p(3-p) + (1-p) \log 3 - (1-p) \log(3-p)$$

$$= \frac{-p}{3} \log p + \frac{2p}{3} \log 2 - \frac{2p}{3} + (1-p) \log 3 - \left(1 - \frac{p}{3}\right) \log(3-p)$$

$$\frac{dI(x; z)}{d_p} = \frac{-1}{3} \log p - \frac{1}{3} + \frac{2}{3} - \log 3 + \frac{1}{3} \log(3-p) + \frac{1}{3} = 0$$

$$\Rightarrow \frac{2}{3} - \log 3 + \frac{1}{3} \log \left(\frac{3-p}{p}\right) = 0$$

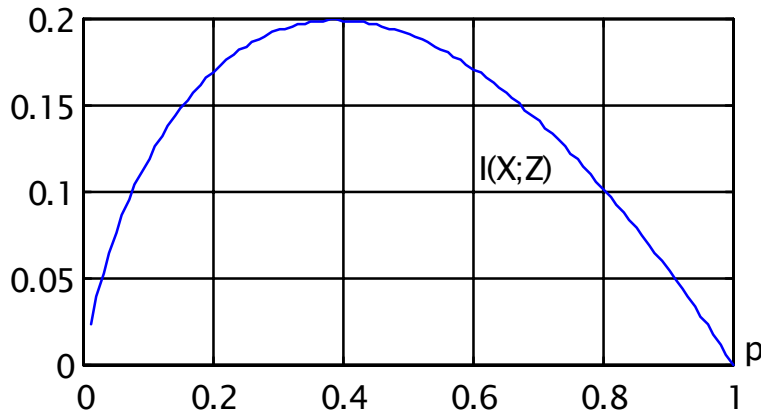
$$\Rightarrow 2 - 3 \log 3 + \log \left(\frac{3-p}{p}\right) = 0$$

$$\Rightarrow \log \left(\frac{3-p}{p}\right) = 3 \log 3 - 2 = 2.755$$

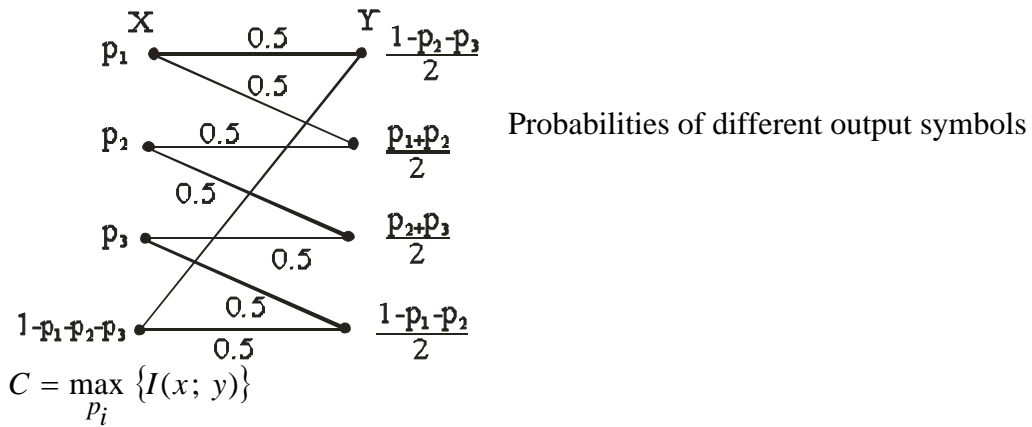
$$\Rightarrow \frac{3-p}{p} = 6.75 \quad \Rightarrow p = 0.387.$$

$$C_{AB} = 0.199 \text{ bit/use}$$

(d) C_{AB} is less than both C_A and C_B . This is because we don't have the flexibility to choose the input symbol probabilities for the second half of the composite channel. The plot of $I(X; Z)$ versus probability p is given below.



Q.2.4



$$\begin{aligned}
 I(x; y) &= \sum_j \sum_i p(x_j) p(y_i | x_j) \log \left[\frac{p(y_i | x_j)}{p(y_i)} \right] \\
 &= 0.5 p_1 \log \frac{0.5}{0.5(1-p_2-p_3)} + 0.5(1-p_1-p_2-p_3) \log \frac{0.5}{0.5(1-p_2-p_3)} \\
 &\quad + 0.5 p_1 \log \frac{0.5}{0.5(p_1+p_2)} + 0.5 p_2 \log \frac{0.5}{0.5(p_1+p_2)} \\
 &\quad + 0.5 p_2 \log \frac{0.5}{0.5(p_2+p_3)} + 0.5 p_3 \log \frac{0.5}{0.5(p_2+p_3)} \\
 &\quad + 0.5 p_3 \log \frac{0.5}{0.5(1-p_1-p_2)} + 0.5(1-p_1-p_2-p_3) \log \frac{0.5}{0.5(1-p_1-p_2)} \\
 &= -0.5 [p_1 \log (1-p_2-p_3) + (1-p_1-p_2-p_3) \log (1-p_2-p_3) + p_1 \log (p_1+p_2) \\
 &\quad + p_2 \log (p_1+p_2) + p_2 \log (p_2+p_3) + p_3 \log (p_2+p_3) + p_3 \log (1-p_1-p_2) \\
 &\quad + (1-p_1-p_2-p_3) \log (1-p_1-p_2)] \\
 &= -0.5 [(1-p_2-p_3) \log (1-p_2-p_3) + (p_1+p_2) \log (p_1+p_2) + (p_2+p_3) \\
 &\quad \log (p_2+p_3) + (1-p_1-p_2) \log (1-p_1-p_2)]
 \end{aligned}$$

$$\frac{\partial I(x; y)}{\partial p_1} = \frac{1}{2} [\log(p_1 + p_2) + 1 - \log(1 - p_1 - p_2) - 1] = 0$$

$$\Rightarrow \log(p_1 + p_2) = \log(1 - p_1 - p_2) \Rightarrow p_1 + p_2 = \frac{1}{2} \quad (1)$$

$$\frac{\partial I(x; y)}{\partial p_3} = -0.5 [-\log(1 - p_2 - p_3) - 1 + \log(p_2 + p_3) + 1] = 0$$

$$\Rightarrow \log(p_2 + p_3) = \log(1 - p_2 - p_3)$$

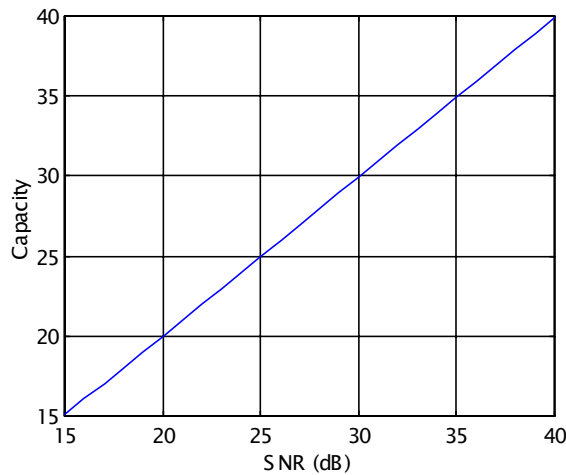
$$\Rightarrow p_2 + p_3 = 1 - p_2 - p_3 \Rightarrow p_2 + p_3 = \frac{1}{2} \quad (2)$$

Substituting (1) & (2) in $I(x; y)$ we get
 $C = -0.5 [0.5 \log 0.5 + 0.5 \log 0.5 + 0.5 \log 0.5 + 0.5 \log 0.5]$
 $= -4/2 \times 1/2 \log 1/2 = \log 2 = 1 \text{ bit/use.}$

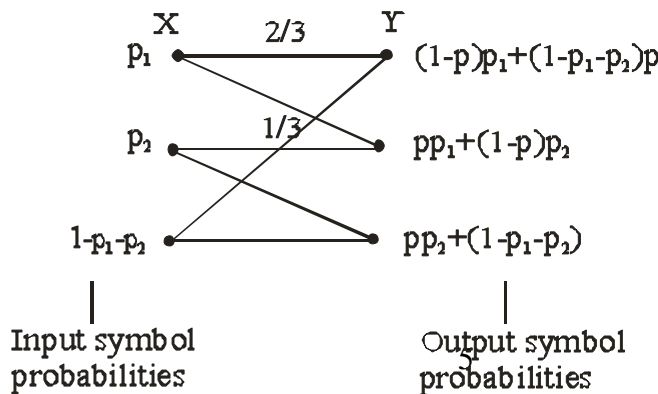
Q.2.5 (a) $C = B \log_2 (1 + \text{SNR})$ $\text{SNR} = 20 \text{ dB} = 100$
 $= 3000 \log_2 (1 + 100)$
 $= 19,974.6 \text{ bits/sec} \approx 20 \text{ kb/s.}$

(b) $\text{SNR} = 25 \text{ dB} = 316.2$
 $C = 3000 \log_2 (1 + 316.2) = 24,927.7 \text{ bits/sec} \approx 25 \text{ kb/s.}$

It is interesting to note that for this telephone channel under consideration, the SNR (in dB) directly translates to the capacity (in kb/s). See the graph below!



Q.2.6



$$\text{Capacity} = \max_{\{p_i\}} \{I(x; y)\}$$

$$I(x; y) = p_1(1-p) \log \left\{ \frac{1-p}{(1-p)p_1 + p(1-p_1-p_2)} \right\} + p(1-p_1-p_2) \log \left\{ \frac{p}{(1-p)p_1 + p(1-p_1-p_2)} \right\}$$

$$+ p_2(1-p) \log \left\{ \frac{1-p}{pp_1 + p(1-p-p_2)} \right\} + pp_1 \log \left\{ \frac{p}{pp_1 + p(1-p-p_2)} \right\}$$

$$+ (1-p_1-p_2)(1-p) \log \left\{ \frac{1-p}{pp_2 + (1-p)(1-p_1-p_2)} \right\} + pp_2 \log \left\{ \frac{p}{pp_2 + (1-p)(1-p_1-p_2)} \right\}$$

$$\begin{aligned} I(x; y) = & - [p_1(1-p) + p(1-p_1-p_2)] \log [(1-p)p_1 + p(1-p_1-p_2)] + p_1(1-p) \log (1-p) \\ & + p(1-p_1-p_2) \log p - [p_2(1-p) + pp_1] \log [pp_1 + (1-p)p_2] + p_2(1-p) \log (1-p) \\ & + pp_1 \log p - [1-p_1-p_2](1-p) + pp_2] \log [pp_2 + (1-p)(1-p_1-p_2)] + (1-p) \log (1-p) \\ & + pp_2 \log p \end{aligned}$$

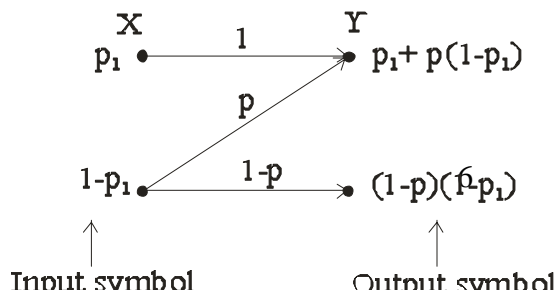
$$\begin{aligned} \frac{\partial I(x; y)}{\partial p_1} = 0 \Rightarrow & (2p-1) \log [(1-p)p_1 + p(1-p_1-p_2)] - p \log [pp_1 + p_2(1-p)] \\ & + (1-p) \log [pp_2 + (1-p)(1-p_1-p_2)] = 0 \end{aligned}$$

$$\begin{aligned} \frac{\partial I(x; y)}{\partial p_2} = 0 \Rightarrow & p \log [(1-p)p_1 + p(1-p_1-p_2)] - (1-p) \log [pp_1 + (1-p)p_2] \\ & - (2p-1) \log [pp_2 + (1-p)(1-p_1-p_2)] = 0 \end{aligned}$$

- Q.2.7 A TV displays 30 frames /second
 2×10^5 pixels /frame
 16 bits / pixel
 \therefore Transmission rate = $30 \times 2 \times 10^5 \times 16$ bits /second
 $= 96 \times 10^6$ bits / second.
 $C = B \log_2 (1 + \text{SNR})$ SNR = 25dB = 316.22

$$\text{Bandwidth required} = \frac{96 \times 10^6}{\log_2 (1 + 316.22)} = 11.55 \times 10^6 \text{ Hz}$$

Q.2.8



$$\begin{aligned}
 \text{(a) } I(x; y) &= p_1 \log \frac{1}{p_1 + p(1-p_1)} + p(1-p_1) \log \frac{p}{p_1 + p(1-p_1)} \\
 &\quad + (1-p)(1-p_1) \log \frac{p}{(1-p)(1-p_1)} \\
 &= -[p_1 + p(1-p_1)] \log[p_1 + p(1-p_1)] - (1-p) - p(1-p_1) \log p - (1-p)(1-p_1) \log(1-p_1)
 \end{aligned}$$

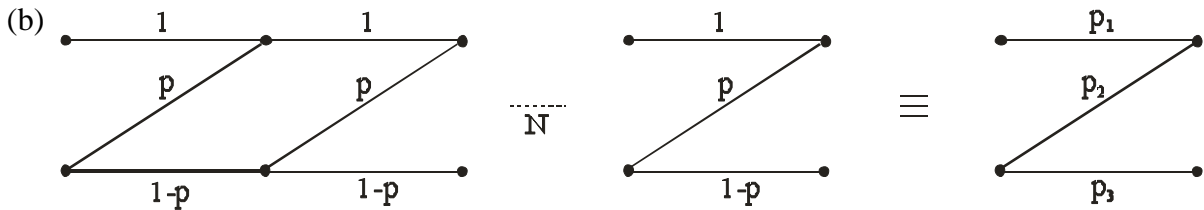
$$\begin{aligned}
 \frac{dI(x; y)}{dp_1} &= - (1-p) \log [p_1 + p(1-p_1)] - (1-p) - p \log p + (1-p) [1 + \log(1-p_1)] \\
 &= - (1-p) \log(1-p_1) - (1-p) \log [p_1 + p(1-p_1)] - p \log p
 \end{aligned}$$

$$\Rightarrow \log \left[\frac{1-p_1}{p_1 + p(1-p_1)} \right] = \frac{p}{1-p} \log p$$

$$\Rightarrow \frac{1-p_1}{p_1 + p(1-p_1)} = (P)^{\frac{p}{1-p}} = \alpha$$

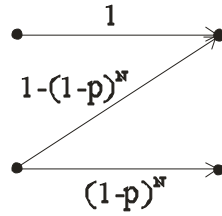
$$p_1 = \frac{1 - \alpha p}{1 - \alpha p + p\alpha}$$

→ Input probability that results in capacity

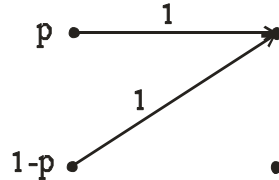


$$\begin{aligned}
 p_1 &= 1, 1 \dots N \text{ times} = 1 \\
 p_2 &= p + (1-p)p + (1-p)^2 \cdot p + \dots + (1-p)^{N-1} p \\
 &= \left[\frac{1 - (1-p)^N}{1 - (1-p)} \right] = 1 - (1-p)^N \\
 p_3 &= (1-p)^N
 \end{aligned}$$

Equivalent channel for combination of N Z channels



(c) As $N \rightarrow \infty$, the equivalent channel tends to (provided $p \neq 0$)



$$I(x; y) = p \log 1/1 + (1-p) \log 1/1 = 0$$

Thus, the capacity $\rightarrow 0$ as $N \rightarrow \infty$, provided $p \neq 0$.

Of course, the capacity $\rightarrow 1$ as $N \rightarrow \infty$, for $p = 0$.

Q.2.9 Given: SNR=20dB

$$(i) \text{Cutoff rate } R_0 = 1 - \log_2(1 + e^{-E/N_0}) \\ = 0.99$$

$$(ii) \bar{P}_e < 2^{n(R_0 - R_c)}$$

Assuming binary antipodal signaling-
 $10^{-6} < 2^{-(0.99-R_c)n}$

$$R_c < 18.94$$

(iii) n is based on the cutoff rate. Cutoff rate is a design parameter.

$$Q.2.10 \text{ Consider } D(p||q) \triangleq \int_{-\infty}^{\infty} p(x) \log \frac{p(x)}{q(x)} dx$$

Where $p(x)$ and $q(x)$ are two probability distributions.

$$-D(p||q) \triangleq \int_{-\infty}^{\infty} p(x) \log \frac{q(x)}{p(x)} dx \leq \int_{-\infty}^{\infty} p(x) \left(\frac{q(x)}{p(x)} - 1 \right) dx$$

$$= \int_{-\infty}^{\infty} q(x) dx - \int_{-\infty}^{\infty} p(x) dx = 0$$

$$\Rightarrow D(p||q) \geq 0$$

Now, let $q(x)$ be the Gaussian distribution : $\frac{1}{\sqrt{2\pi\sigma^2}} e^{-\frac{x^2}{2\sigma^2}}$

Let $p(x) \rightarrow$ arbitrary distribution with variance σ^2 .

$$\begin{aligned}
 D(p\|q) &\triangleq \int_{-\infty}^{\infty} p(x) \log \frac{p(x)}{q(x)} dx \\
 &= \int_{-\infty}^{\infty} p(x) \log_2 \left(\frac{1}{q(x)} \right) dx + \int_{-\infty}^{\infty} p(x) \log_2 p(x) dx \\
 &= \int_{-\infty}^{\infty} p(x) \frac{1}{2} \log_2 \left(\sqrt{2\pi\sigma^2} e^{\frac{x^2}{2\sigma^2}} \right) dx - H(X)
 \end{aligned}$$

Differential entropy for Gaussian distribution

differential entropy

$$\begin{aligned}
 &= \frac{1}{2} \log (2\pi\sigma^2) + \frac{1}{2} \log 3 - H(X) \\
 &= \frac{1}{2} \log_2 (2\pi e\sigma^2) - H(X)
 \end{aligned}$$

$$D(p\|q) \Rightarrow H(X) \leq \frac{1}{2} \log_2 (2\pi e\sigma^2)$$

Differential entropy for Gaussian distribution.

SOLUTIONS FOR CHAPTER 3

Q3.1 $C = \{0000, 1100, 0011, 1111\}$

Since:

- (i) All zero codeword $\{0000\}$ is a valid code word.
Sum of any two code is also a valid code word. Hence 'C' is a linear code.
- (ii) Minimum distance = Minimum weight = 2

$$\begin{array}{r} 1100 \\ + 0011 \\ \hline 1111 \end{array} \quad \begin{array}{r} 1100 \\ + 1111 \\ \hline 0011 \end{array} \quad \begin{array}{r} 0011 \\ + 1111 \\ \hline 1100 \end{array}$$

Q3.2 (i) $(6, 1, 6) \Leftrightarrow (n, k, d^*)$

$$t = \left\lfloor \frac{d-1}{2} \right\rfloor = \left\lfloor \frac{6-1}{2} \right\rfloor = 2$$

Hamming bound for binary codes

$$\left[1 + \binom{n}{1} + \binom{n}{2} + \dots + \binom{n}{t} \right] \leq 2^{n-k}$$

$$\Rightarrow 1 + \binom{6}{1} + \binom{6}{2} \leq 2^5$$

$$\Rightarrow 22 < 32.$$

Hence $(6, 1, 6)$ is a valid code word and it is simply the repetition code with $n = 6$.

(ii) $(3, 3, 1) \Rightarrow d^* = 1 \Rightarrow t = 0.$

$$\text{By Hamming bound } [1 + 0] \leq 2^0 \leq 1 = 1.$$

Hence $(3, 3, 1)$ is also valid code construction.

This code is equivalent to sending information bits as such with no change, hence does not have any error detecting or correction capability.

(iii) $(4, 3, 2) \Rightarrow d^* = 2 \Rightarrow t = 0.$

By Hamming bound $1 < 2$.

Hence it is a valid code construct (Parity code)

$$\text{Q3.3 } G = \begin{bmatrix} 1 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 \end{bmatrix}_{3 \times 5}$$

$$\Rightarrow k = 3, n = 5.$$

(i) All possible code words

$$C = I \cdot G$$

$$C = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & 0 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 \end{bmatrix}$$

$$C = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 0 \\ 1 & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 1 \end{bmatrix}$$

(ii) G in systematic form:

$$G = \begin{bmatrix} 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 \end{bmatrix}$$

$$\text{Parity check matrix } \mathbf{H} = \begin{bmatrix} \underbrace{-\mathbf{P}^T}_{J_k} & \underbrace{\mathbf{I}_{n-k}}_{\mathbf{P}} \end{bmatrix}$$

$$H = \begin{bmatrix} 1 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 & 1 \end{bmatrix}$$

(iii) Generator matrix of an equivalent systematic code

$$G = \begin{bmatrix} 1 & 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 \end{bmatrix}$$

(iv) $d^* = 2$; Hence it can detect 1 error but can't correct that error

$$H^T = \begin{bmatrix} 1 & 0 \\ 1 & 1 \\ 0 & 1 \\ 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$\text{Syndrome} = eH^T = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 1 & 1 \\ 0 & 1 \\ 1 & 0 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 0 & 1 \\ 1 & 0 \\ 0 & 1 \\ 1 & 1 \\ 1 & 0 \end{bmatrix}$$

We can choose 4 error patterns with distinct syndrome which can be corrected using this syndrome table.

$$\begin{array}{ll} 00000 & \rightarrow 00 \\ 00100 & \rightarrow 01 \\ 01000 & \rightarrow 11 \\ 10000 & \rightarrow 10 \end{array}$$

Standard Array

| | | | | | | | |
|-------|-------|-------|-------|-------|-------|-------|-------|
| 00000 | 01010 | 10011 | 11001 | 10100 | 11110 | 00111 | 01101 |
| 00100 | 01110 | 10111 | 11101 | 10000 | 11010 | 00017 | 01001 |
| 01000 | 00010 | 11011 | 10001 | 11100 | 10110 | 01111 | 00101 |
| 10000 | 11010 | 00011 | 01001 | 00100 | 01110 | 10111 | 11101 |

(v) Minimum distance, $d^* = 2$.

(vi) Since $d^* = 2$, number of errors it can detect = $d^* - 1 = 1$.

(vii) The error patterns that can be detected:

00001

00010

00100

01000

10000

(viii) Number of errors it can correct = $\frac{d^* - 1}{2} = 0$

(ix) Since it cannot correct any error. The symbol error is *same as* uncoded probability of error. However, since 1 bit error can be detected, a request for repeat transmission can be made on this basis. This scheme will also fail if 2 or more bits are in error. Thus, if automatic repeat request (ARQ) is used, the probability of error will be

$$p(e) = \binom{5}{2} p^2 (1-p)^3 + \binom{5}{3} p^3 (1-p)^2 + \binom{5}{4} p^4 (1-p) + \binom{5}{5} p^5$$

(x) Since all zero is a valid codeword and sum of any two code words is also a valid codeword, it is a linear code.

Q3.4 $C = \{00000, 10100, 01010, 11111\}$
For this, $(n, k) = (5, 2)$

By observation if we use the mapping as follow

| Information Word | | Codeword |
|------------------|---|----------|
| 00 | → | 00000 |
| 01 | → | 10101 |
| 10 | → | 01010 |
| 11 | → | 11111 |

$$G = \begin{bmatrix} 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 0 \end{bmatrix}$$

Q3.5 Given: (n, k, d^*) is a binary code with d^* even. We need to show that there exists a (n, k, d^*) code in which all codewords have even weight.

Construct a code with all codewords of even weight by adding even a parity bit to all the codewords of the given binary (n, k, d^*) code with d^* even. By adding an even parity, all code words will become even weight and also since d^* is even.

This process of adding a parity bit will not alter the minimum weight. Hence, the d^* will be even for this new code.

Q3.6 Let C be a linear code (binary).

If the codewords $C_1, C_2 \in C$, then, $C_1 \oplus C_2 = C_3 \in C$.

Let us now add a parity check bit to the codewords of C .

Let P_i be the parity bit of C_i and let this new augmented codeword be denoted by \bar{C}_i . By definition, the parity bit is the binary sum of all the elements of the codeword vector, i.e.:

$$P_1 = C_{11} \oplus C_{12} \oplus \dots \oplus C_{1n}$$

$$P_2 = C_{21} \oplus C_{22} \oplus \dots \oplus C_{2n}$$

$$P_3 = C_{31} \oplus C_{32} \oplus \dots \oplus C_{3n}$$

$$\text{Now, } C_3 = C_1 \oplus C_2$$

$$= (C_{11} \oplus C_{12} \oplus \dots \oplus C_{1n}) \oplus (C_{21} \oplus C_{22} \oplus \dots \oplus C_{2n})$$

$$P_3 = P_1 \oplus P_2.$$

Hence even after adding an overall parity bit,

$$\bar{C}_1 \oplus \bar{C}_2 = \bar{C}_3$$

Thus the modified code is also binary linear code.

Q3.7 (i) New codeword C_3 consists of $U_1 U_2 U_3 \dots U_n V_1 V_2 V_3 \dots V_n$

So in new code there are total $2n$ codewords.

As $U_1 U_2 U_3 \dots U_n$ encodes 2^{k_1} words and $V_1 V_2 V_3 \dots V_n$ encodes 2^{k_2} words, the new code encodes $2^{k_1} \cdot 2^{k_2} = 2^{k_1+k_2}$ words.

Therefore C_3 is $(2n, k_1+k_2)$ code.

(ii) $U_1 U_2 U_3 \dots U_n \Rightarrow$ minimum distance d_1

$V_1 V_2 V_3 \dots V_n \Rightarrow$ minimum distance d_2

For $C_3 (U|U+V)$ if U is all zero codeword then minimum distance is d_2 .

If V is all zero codeword then minimum distance is $2d_1$.

Therefore minimum distance of C_3 is $\min\{2d_1, d_2\}$

Q3.8 (a) $S = \{0101, 1010, 1100\}$

$$\langle S \rangle = \{0000, 0101, 1010, 1100, 1111, 1001, 0110, 0011\}$$

(b) $S = \{1000, 0100, 0010, 0001\}$

$$\langle S \rangle = \{0000, 1000, 0100, 0010, 0001, 1100, 1010, 1001, 0110, 0101, 0011, 1110, 1101, 1011, 0111, 1111\}$$

(c) $S = \{11000, 01111, 11110, 01010\}$

$$\langle S \rangle = \{00000, 11000, 01111, 01010, 10111, 00110, 10010, 10001, 00101, 10100, 01001, 11101, 01100, 11011, 11110, 00011\}$$

Q3.9 Binary code (23, 12, 7)

$$t = \frac{d^* - 1}{2} = 3, \text{ errors that can be corrected.}$$

$$p = 0.01.$$

$$P(e) = \binom{23}{4} p^4 (1-p)^{19} + \binom{23}{5} p^5 (1-p)^{18} + \dots + \binom{23}{23} p^{23}$$

$$= 1 - \binom{23}{0} (1-p)^{23} - \binom{23}{1} p (1-p)^{22} - \binom{23}{2} p^2 (1-p)^{21} - \binom{23}{3} p^3 (1-p)^{20}$$

$$= 1 - 0.79361 - 0.18437 - 0.02048 - 0.00144$$

$$= 1 - 0.99992 \approx 0.00008.$$

Q3.10 C is a binary code with parity check matrix H .

$$C_1 \rightarrow H_1 \Rightarrow CH^T = 0 \Rightarrow GH^T = 0$$

$$C_1 = [C : P]$$

$$G_1 = [G : P]$$

$$G_1 H_1^T = [G : P] H_1^T$$

$$= [G : P] \left[\begin{array}{cccc|c} & & & & 1 \\ & & & & 1 \\ & & & & 1 \\ & & & & 1 \\ \hline 0 & 0 & \dots & 0 & 1 \end{array} \right]$$

$$= [GH^T \quad G \oplus P]$$

$$= 0.$$

$$\text{Hence } H_1 = \begin{pmatrix} H & : & 0 \\ & : & 0 \\ \dots & : & : \\ 1 & 1 & 1 & 1 \dots 1 \end{pmatrix}$$

is the parity check matrix of the extended code C , obtained from C by adding an overall parity bit.

Q3.11 (5, 3) code over $GF(4)$

| | | | | |
|---|---|---|---|---|
| + | 0 | 1 | 2 | 3 |
| 0 | 0 | 1 | 2 | 3 |
| 1 | 1 | 0 | 3 | 2 |
| 2 | 2 | 3 | 0 | 1 |
| 3 | 3 | 2 | 1 | 0 |

| | | | | |
|---|---|---|---|---|
| . | 0 | 1 | 2 | 3 |
| 0 | 0 | 0 | 0 | 0 |
| 1 | 0 | 1 | 2 | 3 |
| 2 | 0 | 2 | 3 | 1 |
| 3 | 0 | 3 | 1 | 2 |

$$G = \begin{bmatrix} 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 2 \\ 0 & 0 & 1 & 1 & 3 \end{bmatrix}$$

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(i) Parity check matrix

$$H = \begin{bmatrix} -1 & -1 & -1 & 1 & 0 \\ -1 & -2 & -3 & 0 & 1 \end{bmatrix}$$

$$\Rightarrow H = \begin{bmatrix} 1 & 1 & 1 & 1 & 0 \\ 1 & 2 & 3 & 0 & 1 \end{bmatrix} \text{ since } -1 = 1, -2 = 2, -3 = 3 \text{ over } GF(4).$$

(ii) Minimum distance, $d^* = 3$.

Hence this code can detect 2 errors.

(iii) This code can correct 1 error.

(iv) With erasures $d^* \geq 2t + 1 + r$

$$\begin{aligned} \text{As } t &= 1 \\ r &= 0 \end{aligned}$$

(v) Check for the condition for perfect code:

$$M \left\{ \binom{n}{0} + \binom{n}{1}(q-1) + \binom{n}{2}(q-1)^2 + \dots + \binom{n}{t}(q-1)^t \right\} = q^n$$

Here, $n = 5, k = 3, t = 1, q = 4, M = 4^3$. Yes, it's a perfect code!

3.13 Hamming bound for non-binary.

$$\left[1 + \binom{n}{1} + \binom{n}{2} + \binom{n}{3} \right] = 2^{n-k}$$

$$\text{if } n = 7 [1 + 7 + 21 + 35] = 2^{7-k}$$

$$64 = 2^{7-k}$$

which can be satisfied for $k = 1$.

\therefore binary perfect code with $d^* = 7$ & $n = 7$ is possible.

If $n = 23$

$$1 + 23 + 253 + 1771 = 2^{23-k}$$

$$2048 = 2^{23-k}$$

$$\Rightarrow k = 12.$$

\therefore binary perfect code with $d^* = 7$ & $n = 23$ is possible.

$$\text{Q3.14 } r_H = \frac{k}{n} = \frac{2^m - 1}{2^m - 1 - m}$$

$$\lim_{k \rightarrow \infty} r_H = \lim_{m \rightarrow \infty} \frac{2^m - 1}{2^m - 1 - m}$$

Since 2^m terms goes toward ∞ , exponentially. Hence we can neglect the effect of m & 1 both

$$\Rightarrow \lim_{k \rightarrow \infty} r_H = \lim_{m \rightarrow \infty} \frac{2^m}{2^m} = 1.$$

Q3.15 Hint: Check for the optimality of codes with a larger value of n , but same k and t , or with a smaller value of k with the same n and t . Then draw a conclusion.

Q.3.16 For orthogonality every column must be orthogonal to other column i.e. inner product of 2 column vectors must be 0.

Column(1,2) inner product

$$(x_1, x_2, x_3) \cdot (-x_2^*, x_1^*, 0) = 0$$

Column(1,3) inner product

$$(x_1, x_2, x_3) \cdot (-x_3^*, 0, x_1^*) = 0$$

Column(1,4) inner product

$$(x_1, x_2, x_3) \cdot (0, -x_3^*, x_2^*) = 0$$

Column(2,3) inner product

$$(-x_2^*, x_1^*, 0) \cdot (-x_3^*, 0, x_1^*) \neq 0$$

Therefore the given STBC code is not orthogonal.

SOLUTIONS FOR CHAPTER 4

- Q4.1** (a) → Not a linear cyclic block code since {1111} missing.
 (b) → Not cyclic.
 (c) → Not cyclic.
 (d) → Cyclic equivalent.
 (e) → Cyclic.

- Q4.2** (a) $\frac{F[x]}{x^2 + 1}$ over $GF(2)$ contains {0, 1, x, 1 + x}.

The addition and multiplication tables are given below:

| | | | | |
|-----|-----|-----|-----|-----|
| + | 0 | 1 | x | 1+x |
| 0 | 0 | 1 | x | 1+x |
| 1 | 1 | 0 | x+1 | 1 |
| x | x | x+1 | 0 | 1 |
| 1+x | 1+x | x | 1 | 0 |

| | | | | |
|-----|---|-----|-----|-----|
| . | 0 | 1 | x | 1+x |
| 0 | 0 | 0 | 0 | 0 |
| 1 | 0 | 1 | x | x+1 |
| x | 0 | x | 1 | x+1 |
| 1+x | 0 | x+1 | x+1 | 0 |

$\frac{F[x]}{x^2 + 1}$ over $GF(2)$ is not a field because of the absence of a multiplicative inverse for 1+x.

Also, $\frac{F[x]}{x^2 + 1}$ over $GF(2)$ is not a field because $(x^2 + 1)$ is not prime polynomial over $GF(2)$.

- (b) $\frac{F[x]}{x^2 + 1}$ over $GF(3)$ is a field because $(x^2 + 1)$ is prime over $GF(3)$.

$\frac{F[x]}{x^2 + 1}$ over $GF(3)$ contains {0, 1, 2, x, x + 1, x + 2, 2x, 2x+1, 2x+2}.

| | | | | | | | | | |
|------|------|------|------|------|------|------|------|------|------|
| + | 0 | 1 | 2 | x | x+1 | x+2 | 2x | 2x+1 | 2x+2 |
| 0 | 0 | 1 | 2 | x | x+1 | x+2 | 2x | 2x+1 | 2x+2 |
| 1 | 1 | 2 | 0 | x+1 | x+2 | x | 2x+1 | 2x+2 | 2x |
| 2 | 2 | 0 | 1 | x+2 | x | x+1 | 2x+2 | 2x | 2x+1 |
| x | x | x+1 | x+2 | 2x | 2x+1 | 2x+2 | 0 | 1 | 2 |
| x+1 | x+1 | x+2 | x | 2x+1 | 2x+2 | 2x | 1 | 2 | 0 |
| x+2 | x+2 | x | x+1 | 2x+2 | 2x | 2x+1 | 2 | 0 | 1 |
| 2x | 2x | 2x+1 | 2x+2 | 0 | 1 | 2 | x | x+1 | x+2 |
| 2x+1 | 2x+1 | 2x+2 | 2x | 1 | 2 | 0 | x+1 | x+2 | x |
| 2x+2 | 2x+2 | 2x | 2x+1 | 2 | 0 | 1 | x+2 | x | x+1 |

| . | 0 | 1 | 2 | x | x+1 | x+2 | 2x | 2x+1 | 2x+2 |
|------|---|------|------|------|------|------|------|------|------|
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1 | 0 | 1 | 2 | x | x+1 | x+2 | 2x | 2x+1 | 2x+2 |
| 2 | 0 | 2 | 1 | 2x | 2x+2 | 2x+1 | x | x+2 | x+1 |
| x | 0 | x | 2x | 2 | x+2 | 2x+2 | 1 | x+1 | 2x+1 |
| x+1 | 0 | x+1 | 2x+2 | x+2 | 2x | 1 | 2x+1 | 2 | x |
| x+2 | 0 | x+2 | 2x+1 | 2x+2 | 1 | x | x+1 | 2x | 2 |
| 2x | 0 | 2x | x | 1 | 2x+1 | x+1 | 2 | 2x+2 | x+2 |
| 2x+1 | 0 | 2x+1 | x+2 | x+1 | 2 | 2x | 2x+2 | x | 1 |
| 2x+2 | 0 | 2x+2 | x+1 | 2x+1 | x | 2 | x+2 | 1 | 2x |

This is a field because it satisfies all the eight conditions stated in Definition 3.9.

Q4.3 (a) Irreducible polynomials over $GF(2)$ of degree 1 to 5.

$x, x+1, x^2+x+1, x^3+x+1, x^3+x^2+1, x^4+x^3+1, x^4+x+1, x^4+x^3+x^2+x+1, x^5+x^4+x^3+x+1, x^5+x^4+x^2+x+1, x^5+x^3+x^2+x+1, x^5+x^3+1, x^5+x^2+1.$

(b) Irreducible polynomials over $GF(3)$ of degree 1 to 3.

$x, 2x, x+1, x+2, 2x+1, x^2+2x+2, x^2+1, x^2+x+2, 2x^2+x+1, 2x^2+2x+1, x^3+x^2+2, 2x^3+x+1, 2x^3+x+2, x^3+2x+1, x^3+2x+2, x^3+x^2+x+2, x^3+x^2+2x+1, x^3+2x^2+1, x^3+2x^2+2x+2, 2x^3+x^2+2, 2x^3+x^2+x+1, 2x^3+x^2+2x+2, 2x^3+2x^2+1, 2x^3+2x^2+x+2, 2x^3+2x^2+2x+1.$

Q4.4 $x^5 + 1 = (x + 1)(x^4 + x^3 + x^2 + x + 1)$, hence two cyclic nontrivial codes of length 5 are possible.

(i) With $g(x) = x^4 + x^3 + x^2 + x + 1$

$n = 5, \quad n - w = 4 \quad k = 1$

$G = [1 \ 1 \ 1 \ 1 \ 1]_{1 \times 5}$

Min distance $d^* = 5$.

(ii) With $g(x) = x+1$.

$$G = \begin{bmatrix} 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 \end{bmatrix}_{4 \times 5}$$

Systematic form of G.

$$G = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 \end{bmatrix} \quad H = [1 \ 1 \ 1 \ 1 \ 1]$$

$$d^* = 2.$$

Q4.5 $x^n - 1$ is product of r distinct irreducible polynomials over $GF(q)$.

Hence $2^f - 2$, different nontrivial cyclic codes of length n exists (excluding trivial case of $g(x) = 1$ & $g(x) = x^n - 1$).

If $g(x)$ is given, then

Minimum distance of codes \leq Weight of generator matrix.

Q4.6 (a) To factorize $x^8 - 1$ over $GF(3)$

$$\begin{aligned} (x^8 - 1) &= (x^4 - 1)(x^4 + 1) \\ &= (x^2 - 1)(x^2 + 1)(x^2 + x + 2)(x^2 + 2x + 2) \\ &= (x - 1)(x + 1)(x^2 + x + 2)(x^2 + 2x + 2)(x^2 + 1) \\ &= (x + 1)(x + 2)(x^2 + 1)(x^2 + x + 2)(x^2 + 2x + 2) \end{aligned}$$

(b) Hence $2^5 - 2 = 30$ non-trivial ternary code exists.

(c) For $GF(4)$:

$$\begin{aligned} (x^2 - y^2) &= (x^2 + y^2) \\ x^8 - 1 &= x^8 + 1 = (x + 1)^8 \end{aligned}$$

Hence 7 non-trivial quaternary cyclic codes exist. The generator polynomials are: $g_r(x) = (x + 1)^r$ for $r = 1, 2, \dots, 7$.

Q4.7 $g(x) = x^{10} + x^8 + x^5 + x^4 + x^2 + x + 1$ $n = 15 \Rightarrow k = 5$, since degree of $g(x)$ is $(n - k)$.

$$(a) \quad G = \begin{bmatrix} 1 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 & 1 & 1 \end{bmatrix}$$

Minimum distance, $d^* = 7$.

(b) See at the end of the solution for this question.

(c) Errors that can be detected $= 7 - 1 = 6$

(d) Errors that can be corrected $(7-1)/2 = 3$.

(e) Systematic form of G

$$G = \left[\begin{array}{cccccccccccccccc} 1 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 & 1 & 1 \end{array} \right]$$

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(b) $H = [-P^T \quad I_{n-k}]$

$$= \left[\begin{array}{cccccccccccccccc} 1 & 1 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{array} \right]$$

Q4.8 $g(x) = x^6 + 3x^5 + x^4 + x^3 + 2x^2 + 2x + 1$ over $GF(4)$

$$n - k = 6, n = 15, \Rightarrow k = 9$$

(a) Check the validity of $g(x)$

$$\begin{aligned} & x^6 + 3x^5 + x^4 + x^3 + 2x^2 + 2x + 1) x^{15} + 1(x^9 + 3x^8 + 3x^7 + 2x^5 + x^4 + 2x^2 + 2x + 1) \\ & \underline{x^{15} + 3x^{14} + x^{13} + x^{12} + 2x^{11} + 2x^{10} + x^9} \\ & \quad 3x^{14} + x^{13} + x^{12} + 2x^{11} + 2x^{10} + x^9 + 1 \\ & \quad \underline{3x^{14} + 2x^{13} + 3x^{12} + 3x^{11} + x^{10} + x^9 + 3x^8} \\ & \quad \quad 3x^{13} + 2x^{12} + x^{11} + 3x^{10} + 3x^8 + 1 \\ & \quad \quad \underline{3x^{13} + 2x^{12} + 3x^{11} + 3x^{10} + x^9 + x^8 + 3x^7} \\ & \quad \quad \quad 2x^{11} + x^9 + 2x^8 + 3x^7 + 1 \\ & \quad \quad \quad \underline{2x^{11} + x^{10} + 2x^9 + 2x^8 + 3x^7 + 3x^6 + 2x^6} \\ & \quad \quad \quad \quad x^{10} + 3x^9 + 3x^6 + 2x^6 + 1 \\ & \quad \quad \quad \quad \underline{x^{10} + 3x^9 + x^8 + x^7 + 2x^6 + 2x^5 + x^4} \\ & \quad \quad \quad \quad \quad x^8 + x^7 + x^6 + x^4 + 1 \\ & \quad \quad \quad \quad \quad \underline{x^8 + 3x^7 + x^6 + x^5 + 2x^4 + 2x^3 + x^2} \\ & \quad \quad \quad \quad \quad \quad 2x^7 + x^5 + 3x^4 + 2x^3 + x^2 + 1 \end{aligned}$$

$$\frac{2x^7 + x^6 + 2x^5 + 2x^4 + 3x^3 + 3x^2 + 2x}{x^6 + 3x^5 + x^4 + x^3 + 2x^2 + 2x + 1}$$

$$\frac{x^6 + 3x^5 + x^4 + x^3 + 2x^2 + 2x + 1}{x^6 + 3x^5 + x^4 + x^3 + 2x^2 + 2x + 1}$$

No remainder.

Hence $g(x)$ is a valid generator polynomial.

(b) $x^{15} + 1 = (x^9 + 3x^8 + 3x^7 + 2x^5 + x^4 + 2x^2 + 2x + 1).g(x) = h(x).g(x)$

$$H = \begin{bmatrix} 1 & 3 & 3 & 0 & 2 & 1 & 0 & 1 & 2 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 3 & 3 & 0 & 2 & 1 & 0 & 1 & 2 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 3 & 3 & 0 & 2 & 1 & 0 & 1 & 2 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 3 & 3 & 0 & 2 & 1 & 0 & 1 & 2 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 3 & 3 & 0 & 2 & 1 & 0 & 1 & 2 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 3 & 3 & 0 & 2 & 1 & 0 & 1 & 2 & 1 \end{bmatrix}$$

(c) $d^* = 5$ (five columns of H add up to the zero vector).

(d) Code rate = $k/n = 9/15 = 3/5$.

(e) $v(x) = x^8 + x^5 + 3x^4 + x^3 + 3x + 1$.

$$V = [0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 1 \ 0 \ 0 \ 1 \ 3 \ 1 \ 0 \ 3 \ 1]$$

$$H^T = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 3 & 1 & 0 & 0 & 0 & 0 & 0 \\ 3 & 3 & 1 & 0 & 0 & 0 & 0 \\ 0 & 3 & 3 & 1 & 0 & 0 & 0 \\ 2 & 0 & 3 & 3 & 1 & 0 & 0 \\ 1 & 2 & 0 & 3 & 3 & 1 & 0 \\ 0 & 1 & 2 & 0 & 3 & 3 & 0 \\ 1 & 0 & 1 & 2 & 0 & 3 & 0 \\ 2 & 1 & 0 & 1 & 2 & 0 & 0 \\ 1 & 2 & 1 & 0 & 1 & 2 & 0 \\ 0 & 1 & 2 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 2 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 2 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 2 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 \end{bmatrix}$$

$\mathbf{vH}^T = [1 \ _ \ _ \ _ \ _ \ _ \ _ \ _]$ non zero.

Hence $v(x) = x^8 + x^6 + 3x^4 + x^5 + 3x + 1$ is not a valid code word.

Also we can check this by dividing $x^8 + x^6 + 3x^4 + x^3 + 3x + 1$ by $x^6 + 3x^6 + x^4 + x^3 + 2x^2 + 2x + 1$ and since remainder is not zero, so it is not valid code word.

Q4.9 Since we are working over $GF(2)$, $-1 = +1$.

For Hamming code, $g_H(x) = (x^3 + x + 1)$

$$g_1(x) = (x+1)(x^3 + x + 1) \\ = x^4 + x^3 + x^2 + 1.$$

$$n = 7, \quad n - k = 4, \quad k = 3$$

$$G = \begin{bmatrix} 1 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 1 & 0 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 0 & 0 & 1 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 1 & 1 \end{bmatrix}$$

$$H_1 = \begin{bmatrix} 1 & 1 & 0 & 1 & 0 & 0 & 0 \\ 1 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 0 & 0 & 1 \end{bmatrix} \quad d_{\min} = 4$$

$$t = \left\lfloor \frac{4-1}{2} \right\rfloor = 1$$

So this code can correct one bit error.

$$\mathbf{S} = \mathbf{eH}^T$$

$$= \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 \end{bmatrix} \cdot \begin{bmatrix} 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 \\ 1 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 \end{bmatrix}$$

The first matrix contains ALL single errors and adjacent double errors. The second matrix is the H^T . The matrix on the RHS is the syndrome matrix.

Since we can map all the two adjacent possible errors to *distinct* syndrome vectors, we will be able to correct all the two adjacent double error.

Q4.10 Hint: Work along similar lines as Example 4.28.

Q4.11 Hint: Start from the basic definition of the Fire code.

Q4.12 (i) Assuming this Fire code over GF(2). For a valid generator polynomial of a Fire code $g(x) = (x^{2t-1}-1)p(x)$ where $p(x)$ does not divide $(x^{2t-1}-1)$.

$$\begin{array}{r} x^6 + x + 1 \quad x^{11} + 1 \quad (x^5 + 1) \\ \hline x^{11} + x^6 + x^5 \\ \hline x^6 + x^5 + 1 \\ \hline x^6 + x + 1 \\ \hline x^5 + x \end{array}$$

Therefore $g(x)$ is a valid generator polynomial.

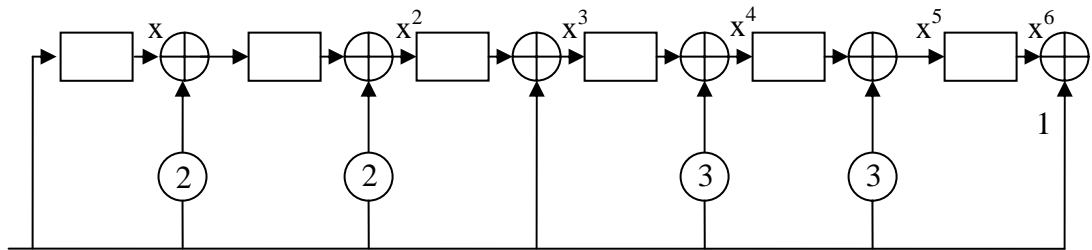
(ii) A Fire code can correct all burst errors of length t or less. So this code can correct all burst of length 6 or less.

Q.4.13 $g(x)$ is a codeword that is a burst of length $(n-k)+1$. Probability of burst error of length $(n-k) + 1$ can not be detected is $\frac{1}{2^{(n-k)+1}}$.

Therefore $g(x)$ can detect a fraction $1-2^{-(n-k+1)}$ of all burst pattern of length $(n-k+1)$.

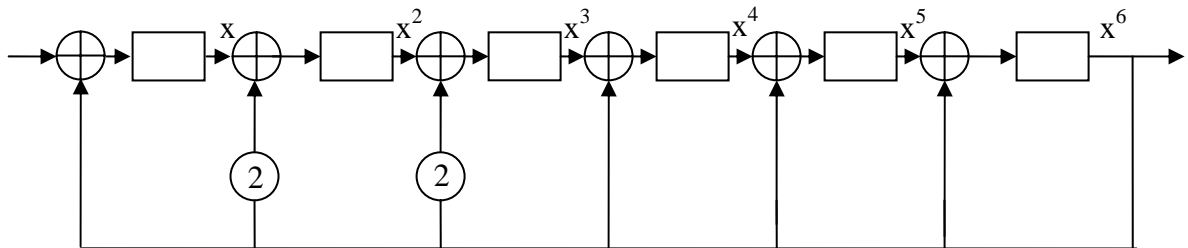
Q.4.14 Shift Register Encoder:

$$g(x) = x^6 + 3x^5 + x^4 + x^3 + 2x^2 + 2x + 1$$



Meggitt Decoder:

Shift register circuit for dividing by $g(x)$.



For Meggitt decoder refer flow chart of Fig. 4.9.

$$\text{Q4.15 } g(x) = (x^{23} + 1)(x^{17} + x^3 + 1)$$

$$g(x) = x^{40} + x^{26} + x^{23} + x^{17} + x^3 + 1$$

$n - k = 40$. From Singleton bound

$$d^* \leq n - k + 1 = 41.$$

(i) Errors that can be corrected $\leq \left\lfloor \frac{41-1}{2} \right\rfloor$
 ≤ 20 .

(ii) Burst errors that can be corrected

$$\leq \left\lfloor \frac{1}{2} (n - k) \right\rfloor \leq 20.$$

However, the actual $d^* = 6$. Thus the Singleton bound is quite loose here. This $g(x)$, however, is excellent for detecting and correcting burst errors

SOLUTIONS FOR CHAPTER 5

Q5.1 The addition and multiplication tables for of $GF(3)$ are:

| | | | |
|---|---|---|---|
| + | 0 | 1 | 2 |
| 0 | 0 | 1 | 2 |
| 1 | 2 | 0 | 1 |
| 2 | 1 | 2 | 0 |

| | | | |
|---|---|---|---|
| . | 0 | 1 | 2 |
| 0 | 0 | 0 | 0 |
| 1 | 0 | 1 | 2 |
| 2 | 0 | 2 | 1 |

Representation of $GF(3^2)$:

Choose the primitive polynomial $p(x) = x^2 + x + 2$

Table 1

| Exponential Notation | Polynomial Notation | Ternary Notation | Decimal Notation | Minimal Notation |
|----------------------|---------------------|------------------|------------------|------------------|
| 0 | 0 | 00 | 0 | 1 |
| α^0 | 1 | 01 | 1 | $x + 2$ |
| α^1 | z | 10 | 3 | $X^2 + x + 2$ |
| α^2 | $2z + 1$ | 21 | 7 | $x^2 + 1$ |
| α^3 | $2z + 2$ | 22 | 8 | $x^2 + x + 2$ |
| α^4 | 2 | 02 | 2 | $x + 1$ |
| α^5 | $2z$ | 20 | 6 | $x^2 + 2x + 2$ |
| α^6 | $Z + 2$ | 12 | 5 | $x^2 + 1$ |
| α^7 | $Z + 1$ | 11 | 4 | $x^2 + 2x + 2$ |

$GF(9)$ Addition and Multiplication Tables:

| | | | | | | | | | |
|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| + | 0 | 1 | z | $2z+1$ | $2z+2$ | 2 | $2z$ | $z+2$ | $z+1$ |
| 0 | 0 | 1 | z | $2z+1$ | $2z+2$ | 2 | $2z$ | $z+2$ | $z+1$ |
| 1 | 1 | 2 | $z+1$ | $2z+2$ | $2z$ | 0 | $2z+1$ | 2 | $z+2$ |
| z | z | $z+1$ | $2z$ | 1 | z | $z+2$ | 0 | $2z+2$ | $2z+1$ |
| $2z+1$ | $2z+1$ | $2z+2$ | 1 | $2z+2$ | z | $2z$ | $2z+1$ | $z+2$ | 6 |
| $2z+2$ | $2z+2$ | $2z$ | 2 | 2 | $Z+1$ | $2z+1$ | 1 | $2z+2$ | Z |
| 2 | 2 | 0 | $z+2$ | $2z$ | $2z+1$ | 1 | $2z+2$ | $Z+1$ | Z |
| $2z$ | $2z$ | $2z+1$ | 0 | $z+1$ | $Z+2$ | 1 | $2z+2$ | $z+1$ | 2 |
| $Z+2$ | $z+2$ | 2 | $2z+2$ | 0 | 1 | $z+1$ | 2 | $2z+1$ | $2z$ |
| $Z+1$ | $z+1$ | $z+2$ | $2z+1$ | 2 | 0 | 2 | 1 | $2z$ | $2z+1$ |
| . | 0 | 1 | z | $2z+1$ | $2z+2$ | 2 | $2z$ | $z+2$ | $z+1$ |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1 | 0 | 1 | z | $2z+1$ | $2z+2$ | 2 | $2z$ | $z+2$ | $z+1$ |
| z | 0 | z | $2z+1$ | $2z+2$ | 2 | $2z$ | $z+2$ | $z+1$ | 1 |
| $2z+1$ | 0 | $2z+1$ | $2z+2$ | 2 | $2z$ | $z+2$ | $z+1$ | $z+1$ | Z |
| $2z+2$ | 0 | $2z+2$ | 2 | $2z$ | $z+2$ | $z+1$ | 1 | z | $2z+1$ |
| 2 | 0 | 2 | $2z$ | $z+2$ | $z+1$ | 1 | z | $2z+1$ | $2z+2$ |
| $2z$ | 0 | $2z$ | $z+2$ | $z+1$ | 1 | z | $2z+1$ | $2z+2$ | 2 |
| $Z+2$ | 0 | $z+2$ | $Z+1$ | 1 | z | $2z+1$ | $2z+2$ | z | $2z$ |

$$Z+1 \quad | \quad 0 \quad z+1 \quad 1 \quad z \quad 2z+1 \quad 2z+2 \quad 2 \quad 2z \quad z+2$$

Q5.2 (i) Using the minimal polynomials as calculated previously in Table-1 in the previous solution:

$$\begin{aligned} \text{GF}(3) \\ x^8 - 1 &= (x + 1) (x + 2) (x^2 + 1) (x^2 + 2x + 2) (x^2 + x + 2) \end{aligned}$$

Ternary BCH code with $t = 2$ (double error correcting code).

$$\begin{aligned} g(x) &= \text{LCM} [f_1(x), f_2(x), f_3(x), f_4(x)] \\ &= \text{LCM} [x^2 + x + 2, x^2 + 1, x^2 + x + 2, x + 1] \\ &= (x^2 + 2x + 2) (x^2 + 1) (x + 2) \\ g(x) &= x^5 + 2x^4 + x^3 + x^2 + 2 \end{aligned}$$

$$\begin{aligned} \text{Minimum distance } d^* &= 5 \\ n = 8, \quad n - k = 5 &\Rightarrow k = 3. \\ \text{Code rate} &= k/n = 3/8. \end{aligned}$$

(ii) The primitive blocklength $= 26 = q^m - 1 = 3^3 - 1$.

$$p(x) = x^3 + 2x + 1$$

Representation of $GF(3^2)$

| Exponential Notation | Polynomial Notation | Ternary Notation | Decimal Notation | Minimal Notation |
|----------------------|---------------------|------------------|------------------|-----------------------|
| 0 | 0 | 000 | 0 | |
| α^0 | 1 | 001 | 1 | $x + 1$ |
| α^1 | 2 | 010 | 3 | $x^3 + 2x + 1$ |
| α^2 | 22 | 100 | 9 | $x^3 + 2x^2 + 2x + 1$ |
| α^3 | $2 + z$ | 012 | 5 | $x^3 + 2x + 2$ |
| α^4 | $2^2 + 2z$ | 120 | 15 | $x^3 + 2x^2 + 1$ |
| α^5 | $2z^2 + z + 2$ | 212 | 23 | $x^3 + x^2 + x + 2$ |
| α^6 | $2^2 + 1 + 2$ | 111 | 13 | $x^3 + 2x^2 + x + 1$ |
| α^7 | $2^2 + 2z + 2$ | 122 | 17 | |
| α^8 | $2z^2 + 2$ | 202 | 29 | |
| α^9 | $z + 1$ | 011 | 4 | $x^3 + 2x + 2$ |
| α^{10} | $2^2 + z$ | 110 | 12 | $x^3 + 2x + 1$ |
| α^{11} | $2^2 + z + 2$ | 112 | 14 | |
| α^{12} | $2^2 + z$ | 102 | 11 | $x^3 + 2x^2 + 1$ |
| α^{13} | 2 | 002 | 2 | $x + 2$ |
| α^{14} | 2z | 020 | 6 | |
| α^{15} | $2z^2$ | 200 | 18 | $x^3 + x^2 + x + 2$ |
| α^{16} | $2z + 1$ | 021 | 7 | |
| α^{17} | $2z^2 + 2$ | 210 | 21 | |
| α^{18} | $2^2 + 2z + 1$ | 121 | 16 | $x^3 + 2x^2 + x + 1$ |

| | | | | |
|---------------|-----------------|-----|----|---------------------|
| α^{19} | $2z^2 + 2 + 2z$ | 202 | 26 | $x^3 + x^2 + x + 2$ |
| α^{20} | $2z^2 + z + 1$ | 211 | 22 | |
| α^{21} | $2^2 + 1$ | 101 | 10 | |
| α^{22} | $2z + 2$ | 022 | 8 | |
| α^{23} | $2z^2 + 2z$ | 220 | 24 | |
| α^{24} | $2z^2 + 2z + 1$ | 221 | 25 | |
| α^{25} | $2z^2 + 1$ | 201 | 19 | |

$t = 3$ (triple error correcting code)

$$g(x) = \text{LCM} [f_1(x), f_2(x), f_3(x), f_4(x), f_5(x), f_6(x)]$$

$$= x^{12} + x^{11} + 2x^9 + 2x^8 + 2x^7 + 2x^3 + 2x^2 + x + 1$$

$d^* = 9$ (thus the code is over designed).

Q5.3 Representation of $GF(2^5)$

Primitive polynomial $p(x) = x^5 + x^2 + 1$

Table II

| Exponential Notation | Polynomial Notation | Binary Notation | Decimal Notation | Minimal Notation |
|----------------------|---------------------------|-----------------|------------------|------------------|
| 0 | 0 | 00000 | 0 | |
| α^0 | 1 | 00001 | 1 | $x + 1$ |
| α^1 | z | 00100 | 2 | $f_1(x)$ |
| α^2 | z^2 | 01000 | 4 | $f_1(x)$ |
| α^3 | z^3 | 10000 | 8 | $f_3(x)$ |
| α^4 | z^4 | 00101 | 16 | $f_1(x)$ |
| α^5 | $z^2 + 1$ | 01010 | 5 | $f_5(x)$ |
| α^6 | $z^2 + z$ | 10100 | 10 | $f_5(x)$ |
| α^7 | $z^4 + z^2$ | 01101 | 20 | $f_7(x)$ |
| α^8 | $z^3 + z^2 + 1$ | 11010 | 13 | $f_1(x)$ |
| α^9 | $z^4 + z^3 + z$ | 10001 | 26 | $f_5(x)$ |
| α^{10} | $z^4 + 1$ | 10001 | 17 | $f_5(x)$ |
| α^{11} | $z^2 + z + 1$ | 00111 | 7 | $f_{11}(x)$ |
| α^{12} | $z^3 + z^2 + z$ | 01110 | 14 | $f_3(x)$ |
| α^{13} | $z^4 + z^3 + z^2 + 1$ | 11100 | 28 | $f_{11}(x)$ |
| α^{14} | $z^4 + z^3 + z^2 + 1$ | 11101 | 29 | $f_7(x)$ |
| α^{15} | $z^4 + z^3 + z^2 + z + 1$ | 11111 | 31 | $f_{15}(x)$ |
| α^{16} | $z^4 + z^3 + 1 + z$ | 11011 | 27 | $f_1(x)$ |
| α^{17} | $z^4 + z + 1$ | 10001 | 19 | $f_3(x)$ |
| α^{18} | $z + 1$ | 00011 | 3 | $f_5(x)$ |
| α^{19} | $z^2 + z$ | 00110 | 6 | $f_7(x)$ |
| α^{20} | $z^3 + z$ | 01100 | 12 | $f_3(x)$ |
| α^{21} | $z^4 + z^2$ | 11000 | 24 | $f_{11}(x)$ |
| α^{22} | $z^4 + z^2 + 1$ | 10101 | 21 | $f_{11}(x)$ |

| | | | | |
|---------------|-----------------------|--------|----|-------------|
| α^{23} | $z^3 + z^2 + z + 1$ | 01111 | 15 | $f_{15}(x)$ |
| α^{24} | $z^4 + z^3 + z^2 + z$ | 11110 | 30 | $f_3(x)$ |
| α^{25} | $z^4 + z^3 + 1$ | 11001 | 25 | $f_7(x)$ |
| α^{26} | $z^4 + z^2 + z + 1$ | 10111 | 23 | $f_{11}(x)$ |
| α^{27} | $z^3 + z + 1$ | 010111 | 11 | $f_{15}(x)$ |
| α^{28} | $z^4 + z^2 + 2$ | 101110 | 22 | $f_7(x)$ |
| α^{29} | $z^3 + 1$ | 01001 | 9 | $f_{15}(x)$ |
| α^{30} | $z^4 + 2$ | 10010 | 18 | $f_{15}(x)$ |

The generator polynomial for correcting at least t errors is given by

$$g(x) = \text{LCM} [f_1(x), f_2(x), \dots, f_{2t}(x)].$$

$$g(x) = x^5 + (z+1)x^4 + (z^2 + z + 1)x^3 + (z + 1)x^2 + zx + z^4$$

Q5.4 Over GF(2) for RS code $N=2^1 - 1=1$

$$\text{Generator polynomial } (x - \alpha^0) = (x - 1)$$

Q5.5 Taking values of 2's from $GF(2^n)$ construction

(i) RS (15, 11) code

$$n = 15, k = 11 \quad d^* = n - k + 1 = 5$$

$$t = (n - k)/2 = 2.$$

$$\begin{aligned} g(x) &= (x - 2)(x - 2^2)(x - 2^3)(x - 2^4) \\ &= x^4 + (2^3 + 2^2 + 1)x^3 + (2^3 + 2^2)x^2 + z^3(x) + (z^2 + 2 + 1) \\ &= x^4 + \alpha^{13}x^3 + \alpha^6x^2 + \alpha^3x + \alpha^{10} \end{aligned}$$

(ii) RS (15, 7) $n = 15, k = 7$

$$d^* = 15 - 7 + 1 = 9$$

$$t = (n - k)/2 = 4$$

$$g(x) = (x - \alpha)(x - \alpha^2)(x - \alpha^3)(x - \alpha^4)(x - \alpha^5)(x - \alpha^6)(x - \alpha^7)(x - \alpha^8)(x - \alpha^9)$$

(iii) Taking values of α 's from Table II RS (31, 21)

$$n = 31, k = 21, d^* = 11, t = (n - k)/2 = 5$$

$$g(x) = (x - \alpha)(x - \alpha^2)(x - \alpha^3) \dots (x - \alpha^9)(x - \alpha^{10})$$

Q5.6 Hint: Similar to example 5.10.

Q5.7 Hint: Start with a RS code over $GF(q^m)$ for a designed distance d . Then show that BCH code is a subfield subcode of a Reed Solomon code of the same designed distance.

Q5.8 The theorem is true if $\mu = 1$. If it is true for all $\mu - 1$ by $\mu - 1$ Vandermonde matrices, then it is also true for all μ by μ Vandermonde matrices. Replace X_1 by the indeterminate x and transpose the matrix. Then the determinant is a function of x , given by

$$D(x) = \det \begin{bmatrix} 1 & x & x^2 & \cdots & x^{\mu-1} \\ 1 & X_2 & X_2^2 & \cdots & X_2^{\mu-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & X_\mu & X_\mu^2 & \cdots & X_\mu^{\mu-1} \end{bmatrix}$$

The determinant can be expanded in terms of elements of the first row multiplied by the cofactors of these elements of the first row. This gives a polynomial in x of degree $\mu - 1$, which can be written

$$D(x) = d_{\mu-1}x^{\mu-1} + \cdots + d_1x + d_0$$

The polynomial $D(x)$ has at most $\mu - 1$ zeros. The coefficient $d_{\mu-1}$ is itself the determinant of a Vandermonde matrix, and by the induction hypothesis, is nonzero. If for any i , $2 \leq i \leq \mu$, we set $x = X_i$, then two rows of the matrix are equal, and $D(X_i) = 0$. Thus for each $i \neq 1$, X_i is a zero of $D(X_i)$, and because they are all distinct and there are $\mu - 1$ of them, the polynomial can easily be factored:

$$D(x) = d_{\mu-1} \left[\prod_{i=2}^{\mu} (x - X_i) \right].$$

Therefore the determinant of the original Vandermonde matrix is

$$D(X_1) = d_{\mu-1} \left[\prod_{i=2}^{\mu} (X_1 - X_i) \right].$$

This is non zero because $d_{\mu-1}$ is nonzero and X_1 is different from each of the remaining X_i . Hence the determinant of the μ by μ Vandermonde matrix is nonzero, and by induction, the theorem is true for all μ .

Q5.9 (i) Given: $\mathbf{H} = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 2 & 3 & 10 \\ 1 & 2^2 & 3^2 & 10^2 \\ 1 & 2^3 & 3^3 & 10^3 \\ \vdots & \vdots & \vdots & \vdots \\ 1 & 2^8 & 3^8 & 10^8 \end{bmatrix}$.

We observe that $s_r = \left[\sum_{i=1}^{10} i^r \right]_{\text{mod } 11} = 0$ for $r = 0, 1, 2, 3, \dots, 8$.

Thus all the 10 columns of \mathbf{H} add up to the zero vector. Hence $d^* = 10 \Rightarrow t = 4$.

(ii) The size of \mathbf{H} is $(n-k) \times n$. Thus $n - k = 9$, $n = 10 \Rightarrow k = 1$.

In this case, $n - k + 1 = 9 + 1 = 10 = d^*$.

Thus, it is optimal in the sense of the Singleton bound.

Q5.10 (i) Given $H = \begin{bmatrix} 1 & 1 & 1 & 1 & \dots & 1 \\ 1 & 2 & 3 & 4 & \dots & 10 \\ 1 & 2^2 & 3^2 & 4^2 & \dots & 10^2 \\ 1 & 2^3 & 3^3 & 4^3 & \dots & 10^3 \\ 1 & 2^4 & 3^4 & 4^4 & \dots & 10^4 \\ 1 & 2^5 & 3^5 & 4^5 & \dots & 10^5 \end{bmatrix}$

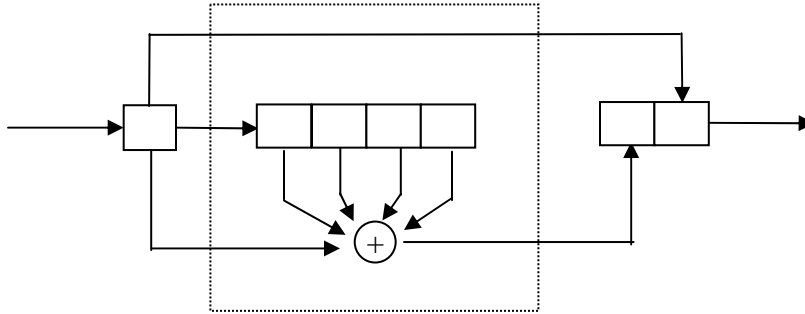
Use the same approach as the previous question.

(ii) First convert the matrix into $H = [-P^T | I]$ form. Since the math is over $GF(11)$, and $q = 11 = \text{odd}$, its simply modulo 11 arithmetic. The generator matrix is given by $G = [I | P]$.

Q5.11 (i) $x^8 + x^4 + x^3 + x^2 + 1$

SOLUTIONS FOR CHAPTER 6

Q6.1 $R = 1/2$, $v = 4$, $d^* = 6$



(i) There are $2^4 = 16$ states for this encoder. The state transitions and the output is given in the table below.

| Input | Current State | | | | Next State | | | | Output | |
|-------|---------------|---|---|---|------------|---|---|---|--------|---|
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 1 |
| 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 1 | 1 |
| 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |
| 1 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 1 | 1 | 0 |
| 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 1 | 0 | 1 |
| 1 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 1 | 1 | 0 |
| 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 |
| 1 | 0 | 1 | 0 | 0 | 1 | 0 | 1 | 0 | 1 | 0 |
| 0 | 0 | 1 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 1 |
| 1 | 0 | 1 | 0 | 1 | 1 | 0 | 1 | 0 | 1 | 0 |
| 0 | 0 | 1 | 1 | 0 | 0 | 0 | 1 | 1 | 0 | 0 |
| 1 | 0 | 1 | 1 | 0 | 1 | 0 | 1 | 1 | 1 | 1 |
| 0 | 0 | 1 | 1 | 1 | 0 | 0 | 1 | 1 | 0 | 0 |
| 1 | 0 | 1 | 1 | 1 | 1 | 0 | 1 | 1 | 1 | 1 |
| 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 |
| 1 | 1 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 1 | 0 |
| 0 | 1 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 1 |
| 1 | 1 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 1 | 0 |
| 0 | 1 | 0 | 1 | 0 | 0 | 1 | 0 | 1 | 0 | 0 |
| 1 | 1 | 0 | 1 | 0 | 1 | 1 | 0 | 1 | 1 | 1 |
| 0 | 1 | 0 | 1 | 1 | 0 | 1 | 0 | 1 | 0 | 0 |
| 1 | 1 | 0 | 1 | 1 | 1 | 1 | 0 | 1 | 1 | 1 |

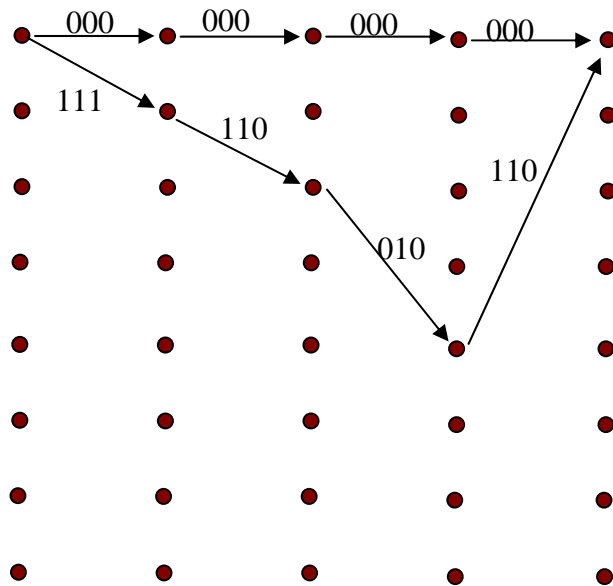
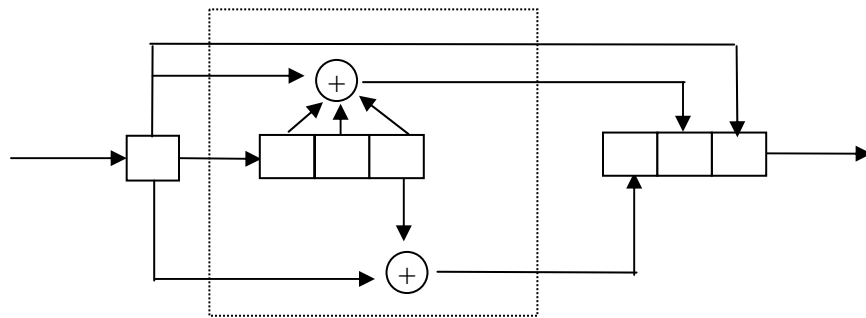
| | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| 0 | 1 | 1 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 |
| 1 | 1 | 1 | 0 | 0 | 1 | 1 | 1 | 0 | 1 | 1 |
| 0 | 1 | 1 | 0 | 1 | 0 | 1 | 1 | 0 | 0 | 0 |
| 1 | 1 | 1 | 0 | 1 | 1 | 1 | 1 | 0 | 1 | 1 |
| 0 | 1 | 1 | 1 | 0 | 0 | 1 | 1 | 1 | 0 | 1 |
| 1 | 1 | 1 | 1 | 0 | 1 | 1 | 1 | 1 | 1 | 0 |
| 0 | 1 | 1 | 1 | 1 | 0 | 1 | 1 | 1 | 0 | 1 |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0 |

(iii) $d_{\text{free}} = 6$.

(iv) $G(D) = [1 \quad D^4 + D^3 + D^2 + D + 1]$.

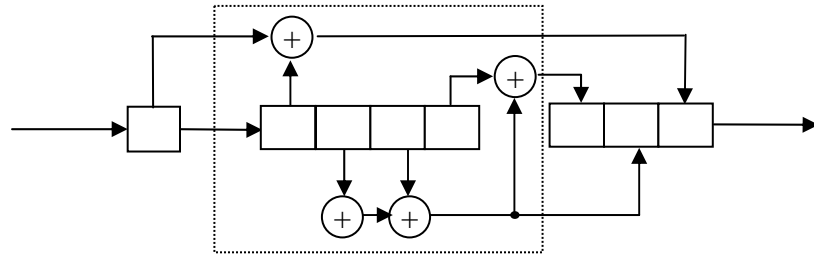
(v) Non catastrophic since $\text{GCD}[1 \quad D^4 + D^3 + D^2 + D + 1] = 1$.

Q 6.2 (i)



(ii) $d_{\text{free}} = 8$ (see the trellis diagram).

Q6.3 (i)



Trellis diagram will have 16 states. Generate mechanically, or write a small computer program. The state transitions in a tabular for is given below.

| Present state | Next state | | Output | |
|---------------|------------|---------|---------|---------|
| | i/p = 0 | i/p = 1 | i/p = 0 | i/p = 1 |
| 0000 | 0000 | 1000 | 000 | 001 |
| 0001 | 0000 | 1000 | 000 | 001 |
| 0010 | 0001 | 1001 | 110 | 111 |
| 0011 | 0001 | 1001 | 110 | 111 |
| 0100 | 0010 | 1010 | 010 | 011 |
| 0101 | 0010 | 1010 | 010 | 011 |
| 0110 | 0011 | 1011 | 100 | 101 |
| 0111 | 0011 | 1011 | 100 | 101 |
| 1000 | 0100 | 1100 | 001 | 000 |
| 1001 | 0100 | 1100 | 001 | 000 |
| 1010 | 0101 | 1101 | 111 | 110 |
| 1011 | 0101 | 1101 | 111 | 110 |
| 1100 | 0110 | 1110 | 011 | 010 |
| 1101 | 0110 | 1110 | 011 | 010 |
| 1110 | 0111 | 1111 | 101 | 100 |
| 1111 | 0111 | 1111 | 101 | 100 |

(ii) $k_0 = 1$, $n_0 = 3$, Rate, $R = 1/3$
 $v = 4$, $m = 1$.

(iii) $d^* = 5$, $d_{\text{free}} = 5$

(iv) $G(D) = [1 + D \quad D^2 + D^3 \quad D^2 + D^3 + D^4]$

Q6.4 (i) $k = 3$, $n = 4$, $v = 1$ $m = 4 + 2 + 2 = 8$, $R = 3/4$.

$v = \sum_{i=1}^{k_0} \max_j [\deg g_{ij}(D)]$ = sum of highest power of $g_{ij}(D)$ in each row of the matrix $G(D) = 2 + 2 + 4 = 8$. The $G(D)$ is given below.

$$(ii) G(D) = \begin{bmatrix} D+D^2 & D^2 & D+D^2 & 0 \\ D^2 & D & D & D^2 \\ 0 & 0 & D^4 & D^2 \end{bmatrix}_{3 \times 4}$$

$$G^0 = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

$$G_1 = \begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

$$G_2 = \begin{bmatrix} 1 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$G_3 = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

$$G_4 = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix}$$

$$Q6.5 \quad G(D) = \begin{bmatrix} D & 0 & 1 & D^2 & D+D^2 \\ D^2 & 0 & 0 & 1+D & 0 \\ 1 & 0 & D^2 & 0 & D^2 \end{bmatrix}$$

$$k_0 = 3, \quad n_0 = 5, \quad R = 3/5$$

$$(ii) \text{GCD } [G(D)] = 1$$

\Rightarrow It's a non-catastrophic convolutional code.

Q6.6 Hint:

Use:

$$H = \begin{bmatrix} P_0^T & -I & & & & & & & & & & & & \dots \\ P_1^T & 0 & P_0^T & -I & & & & & & & & & & \dots \\ P_2^T & 0 & P_1^T & 0 & P_0^T & -I & & & & & & & & \dots \\ \vdots & & \vdots & & \vdots & & & & & & & & \vdots & \dots \\ P_m^T & 0 & P_{m-1}^T & 0 & P_{m-2}^T & 0 & \dots & P_0^T & -I & \dots & & & & \dots \\ & & & P_m^T & 0 & P_{m-1}^T & 0 & \dots & & & & & & \dots \\ & & & & & P_m^T & 0 & \dots & & & & & & \dots \end{bmatrix}, \text{ and}$$

$$G = \begin{bmatrix} I & P_0 & 0 & P_1 & 0 & P_2 & \dots & 0 & P_m & 0 & 0 & 0 & 0 & \dots \\ 0 & 0 & I & P_0 & 0 & P_1 & \dots & 0 & P_{m-1} & 0 & P_m & 0 & 0 & \dots \\ 0 & 0 & 0 & 0 & I & P_0 & \dots & 0 & P_{m-2} & 0 & P_{m-1} & 0 & P_m & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & & \vdots & \vdots & 0 & P_{m-2} & 0 & P_{m-1} & \dots \\ & & & & & & & \vdots & \vdots & 0 & P_{m-2} & 0 & P_{m-1} & \dots \\ & & & & & & & & & \vdots & \vdots & 0 & P_{m-2} & \dots \end{bmatrix},$$

where $G_l = [g_{ij}]$ and $g_{ij}(D) = \sum_l g_{ijl} D^l$.

Q6.7 (i) $g_1(D) = 2D^3 + 3D^2 + 1$ over GF(4)
 $g_2(D) = D^3 + D + 1$

This is a rate $\frac{1}{2}$ encoder.

Hint: You can break up the problem by representing each symbol $\in \{0, 1, 2, 3\}$ by two bits. Thus, this encoder takes in one quaternary symbol (2 bits) and converts it to 2 quaternary symbols (4 bits). The memory unit of this encoder consists of 3 delay elements. Thus the number of states $= 4^3 = 256$. In reality, such an encoder will be implemented using a 8-bit shift register, with two bit-shifts at a time. The logic circuit will be implemented using GF(4) arithmetic. It is advisable to write a small computer program to calculate the d_{\min} for this code.

(ii) $\text{GCD} [g_1, g_2] = 1 \Rightarrow$ non catastrophic

Q6.8 $g_1 = D^3 + D^2 + 1$
 $g_2 = D^3 + D$
 $g_3 = D^2 + 1$

| Input | Present state | Next state | Output |
|-------|---------------|------------|--------|
| 0 | 000 | 000 | 000 |
| 1 | 000 | 100 | 101 |
| 1 | 100 | 110 | 111 |
| 0 | 110 | 011 | 110 |
| 0 | 011 | 001 | 011 |
| 0 | 001 | 000 | 111 |
| 1 | 000 | 100 | 101 |
| 0 | 100 | 010 | 111 |
| 1 | 010 | 101 | 011 |
| 1 | 001 | 110 | 100 |
| 0 | 110 | 101 | 110 |
| 1 | 011 | 101 | 110 |
| 0 | 101 | 010 | 101 |
| 1 | 010 | 101 | 001 |

(i) Encoded Stream for input = 01100011110101 :

000 101 111 110 011 111 101 111
011 100 001 110 101 001

(ii) Encoded Stream for input = 1010101010

101 010 001 101 001 101 001 101

(iii) 0011011

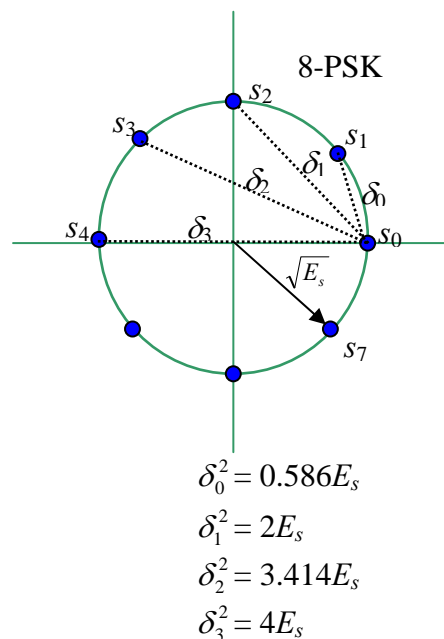
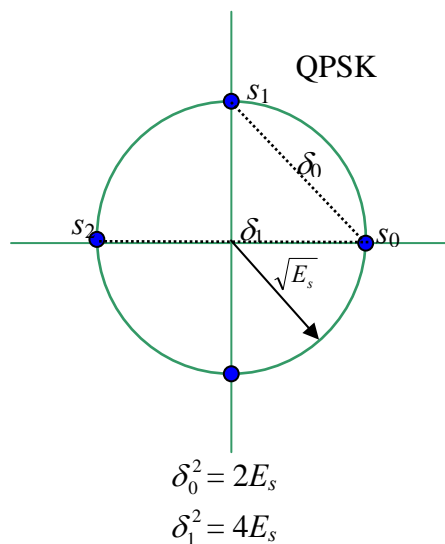
Q6.9 Hint: Solve the problem similar to problem 6.7. You can break up the problem by representing each symbol $\in \{0, 1, 2\}$ by two bits. Thus, this encoder takes in one ternary symbol (2 bits) and converts it to 2 ternary symbols (4 bits). The memory unit of this encoder consists of 4 delay elements. Thus the number of states = $3^4 = 81$. Write a small computer program to calculate the d_{\min} for this code.

SOLUTIONS FOR CHAPTER 7

Q7.1 Given 2/3 convolutional encoder with

$$G(D) = \begin{pmatrix} 1 & D & D + D^2 \\ D^2 & 1 + D & 1 + D + D^2 \end{pmatrix}$$

- (a) the encoder has 16 states in the Trellis diagram
 (b) the free Euclidean distance:



Gray coded using 8 psk signal constellation

$$d_{free}^2 = 2E_s + 2E_s + 0.586E_s = 4.586E_s.$$

$$d_{free} = 2.1415 \sqrt{E}$$

(c) The asymptotic coding gain w.r.t. QPSK

$$g_\infty = g|_{SNR \rightarrow \infty} = 10 \log \frac{(d_{free}^2 / E_s)_{coded}}{(d_{free}^2 / E_s)_{uncoded}},$$

$$= 10 \log (4.586/2)$$

$$= 3.6040 \text{ dB.}$$

Q7.2

(a) The free Euclidean distance:

$$d_{free}^2 = 2E_s + 2E_s + 2E_s$$

$$d_{free} = 2.4495 \sqrt{E_s}$$

$$(b) g_{\infty} = g_{SNR \rightarrow \infty} = 10 \log \frac{(d_{free}^2 / E_s)_{coded}}{(d_{free}^2 / E_s)_{uncoded}},$$

$$= 10 \log (6/2)$$

$$= 4.7712 \text{ dB.}$$

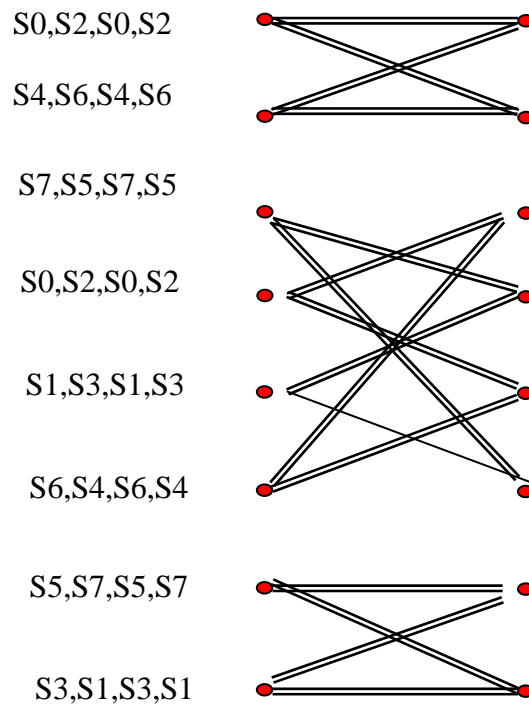
Q7.3

(i)

| Present State | | | Input | | | Next State | | | Output | | |
|---------------|---|---|-------|---|---|------------|---|---|--------|---|---|
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| 0 | 0 | 0 | 1 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 |
| 0 | 0 | 0 | 1 | 1 | 0 | 1 | 1 | 0 | 0 | 1 | 0 |
| 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 1 |
| 0 | 0 | 1 | 0 | 1 | 0 | 0 | 1 | 0 | 1 | 1 | 1 |
| 0 | 0 | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 1 |
| 0 | 0 | 1 | 1 | 1 | 0 | 1 | 0 | 0 | 1 | 1 | 1 |
| 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 1 | 0 |
| 0 | 1 | 0 | 1 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 |
| 0 | 1 | 0 | 1 | 1 | 0 | 1 | 1 | 1 | 0 | 1 | 0 |
| 0 | 1 | 1 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 1 |
| 0 | 1 | 1 | 0 | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| 0 | 1 | 1 | 1 | 0 | 0 | 1 | 0 | 1 | 1 | 0 | 1 |
| 0 | 1 | 1 | 1 | 1 | 0 | 1 | 0 | 1 | 1 | 1 | 1 |
| 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 |
| 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 1 | 1 | 0 |
| 1 | 0 | 0 | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 0 | 0 |
| 1 | 0 | 0 | 1 | 1 | 0 | 1 | 1 | 1 | 1 | 1 | 0 |
| 1 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 1 |
| 1 | 0 | 1 | 0 | 1 | 0 | 0 | 1 | 1 | 0 | 1 | 1 |
| 1 | 0 | 1 | 1 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 1 |
| 1 | 0 | 1 | 1 | 1 | 0 | 1 | 0 | 1 | 0 | 1 | 1 |
| 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| 1 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 1 | 0 |
| 1 | 1 | 0 | 1 | 0 | 0 | 1 | 1 | 0 | 1 | 0 | 0 |

| | | | | | | | | | | | | | |
|---|---|---|--|---|---|--|---|---|---|--|---|---|---|
| 1 | 1 | 0 | | 1 | 1 | | 1 | 1 | 0 | | 1 | 1 | 0 |
| 1 | 1 | 1 | | 0 | 0 | | 0 | 1 | 0 | | 0 | 0 | 1 |
| 1 | 1 | 1 | | 0 | 1 | | 0 | 1 | 0 | | 0 | 1 | 1 |
| 1 | 1 | 1 | | 1 | 0 | | 1 | 0 | 0 | | 0 | 0 | 1 |
| 1 | 1 | 1 | | 1 | 1 | | 1 | 0 | 0 | | 0 | 1 | 1 |

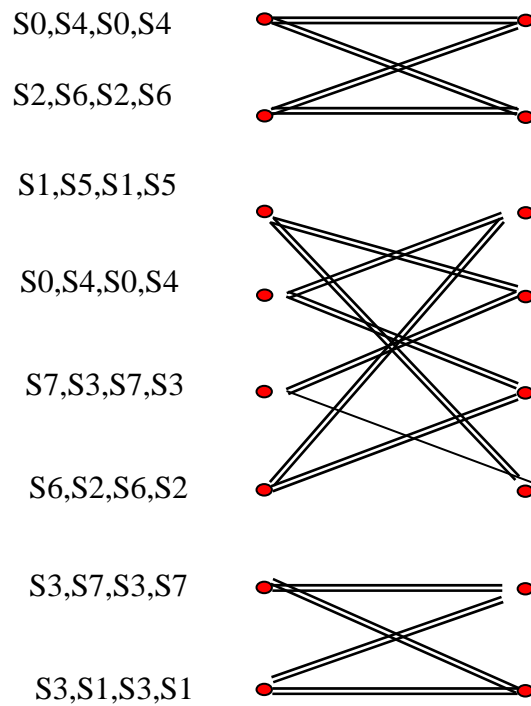
(ii) There are 8 states in the trellis diagram. Construct it using the table above. The trellis diagram has parallel paths. In succinct form, the trellis diagram can be written as



(iii) $d_{free}^2 = 2E_s$

$N(d_{free}^2) = 2 \times 8 = 16.$

(iv) After set partitioning: $d_{free}^2 = 4E_s$



(v) Encoded symbols for

Natural Mapping: S0, S4, S0, S2, S2

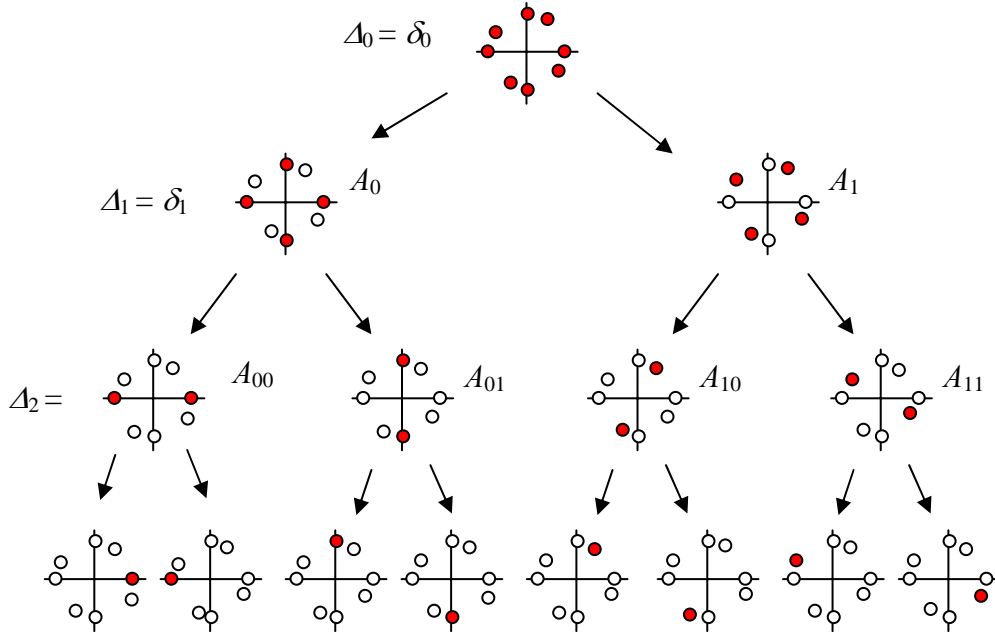
Mapping using set partitioning: S2, S0, S0, S4, S4 .

(vi) Asymptotic coding gain for

Natural Mapping: $10 \log (2 / 0.586) = 5.33 \text{ dB}$

Mapping using set partitioning: $10 \log (4 / 0.586) = 8.34 \text{ dB}$.

Q7.4(a) Set partitioning of asymmetric constellation diagram:

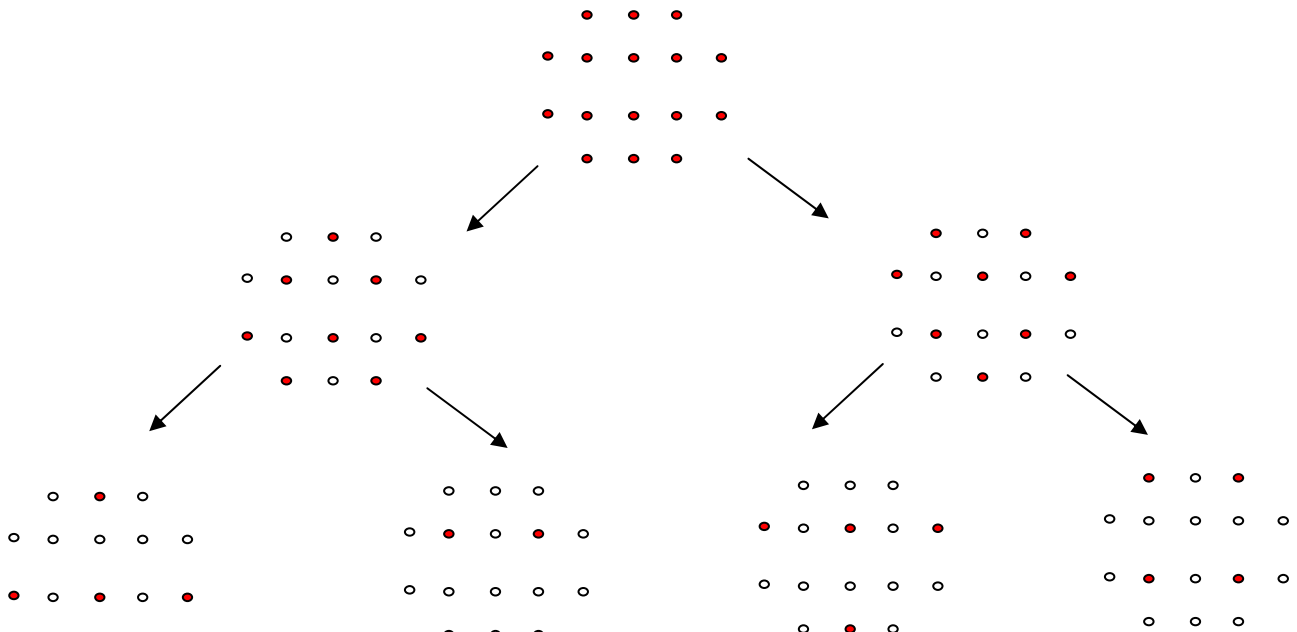


(b) If the minimum Euclidean distance between parallel transitions is given by $\Delta_{\tilde{m}+1}$ and the minimum Euclidean distance between non-parallel paths of the trellis is given by $d_{free}(\tilde{m})$, the free Euclidean distance of the TCM encoder is

$$d_{free} = \min[\Delta_{\tilde{m}+1}, d_{free}(\tilde{m})].$$

If all the symbols are used with equal frequency, the asymmetric constellation diagram will result in a smaller value of d_{free} in general (i.e., poorer performance).

Q7.5 Hint: Perform set partitioning as follows;



Q7.6 Hint: For the right hand side of the inequality, divide both the numerator and denominator by K and take $\lim K \rightarrow \infty$.

Q7.7 Hint: Use equation 7.55.

Q7.8

- a) The number of states depends on the desired BER and the computational complexity permissible.
- b) The internal memory of the encoder will be decided by the number of states in the trellis.
- c) The decision regarding parallel paths can be taken upon calculation of the d_{free} . Parallel paths cannot be ruled out right in the beginning. The number of branches emanating from each node will depend on the constellation size of the modulation scheme.
- d) The decision is between MPSK and MQAM. Beyond $M = 16$ it is better to use MQAM (see their BERs in AWGN!).
- e) Since AWGN is under consideration, we will use the Ungerboeck's design rules.

Q7.9

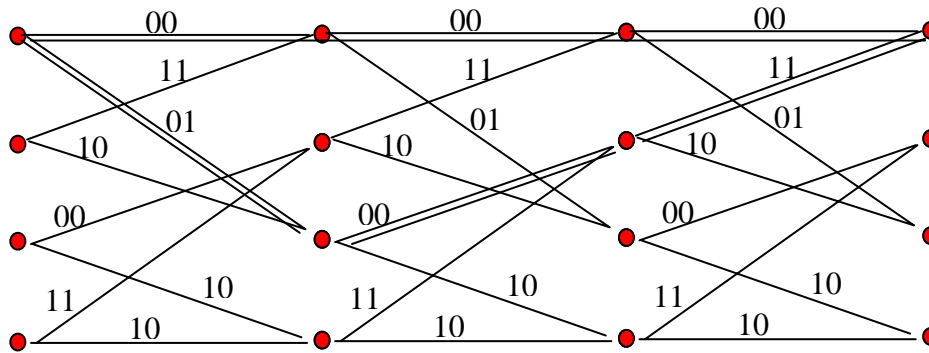
Viterbi decoding metric is $m(r_l, s_l) = \ln p(r_l/s_l)$. The metric of the above form is chosen because it behaves like a distance measure between the received signal and the signal associated with the corresponding branch in the trellis. It has an additive property, namely, that the total metric for a sequence of symbols is the sum of the metric for each channel input and output pair.

Q7.10.

- (a) The direct application of equation 7.55 gives the new $d_p^2(L)$ equal to ten times the previous $d_p^2(L)$. However, to obtain such a large value of $d_p^2(L)$, we need to construct trellis with a larger number of states.
- (b) The new d_{free}^2 will be larger resulting in an improvement in the performance of the new TCM scheme over AWGN channels as well.

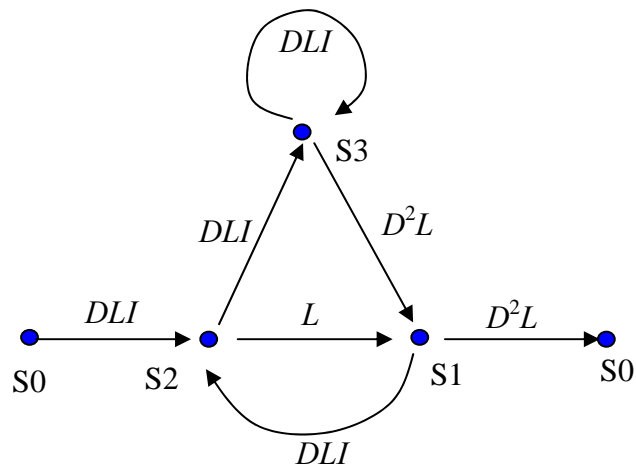
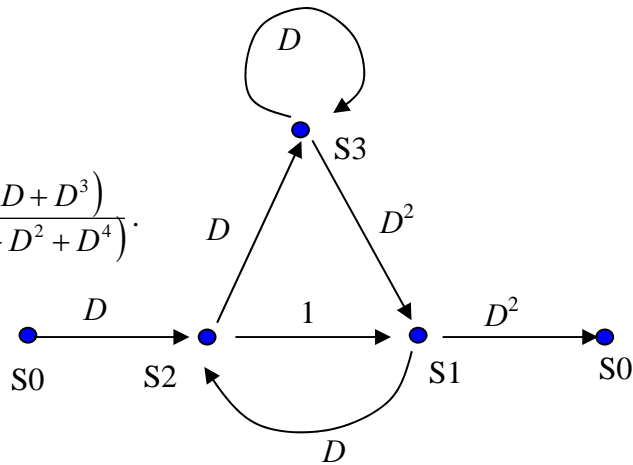
Q7.11

(a) The trellis diagram of the encoder:



(b)
$$T(D) = \frac{D^3(1-D+D^3)}{(1-2D+D^2+D^4)}$$

(c)



$$T(D, L, I) = \frac{L^3 I D^3 (1 - L I D) + L^4 I^2 D^6}{(1 - L I D - L^2 I D + L^3 I^2 D^2 + L^3 I^2 D^4)}.$$

(d) $d_{free}^H = 3$.

(e) Only one path (see the trellis diagram).

Q7.12: Hint: Carry out the mathematical exercise along the lines in Section 7.6.

Q7.13 (i) TCM2

(iii) For AWGN Channel: TCM2
For Fading Channel: TCM1

SOLUTIONS FOR CHAPTER 8

Q8.1 (a) Let M be the size of alphabet. Then to crack this code we need 'M-1 attempts' by using brute force attack. In this case we require $26 - 1 = 25$ attempts.

(b) 25 ms

Q8.2 THE CAT IS OUT OF THE BOX

Q8.3. (a) Assuming plain text is staggered between k rows, we apply brute force attack for each ' k ', $1 < k < n$, where ' n ' is the length of the cipher text.

For each ' k ', divide cipher text into $\lfloor n/k \rfloor$ sized blocks (total k blocks).

Number of attacks = $(n - 2)$.

(b) Given k , apply the attack corresponding to k as given in part a) to decrypt.

Q8.4. First stage:

Size of the key < 36 (36 is worst case).

Number of possible rectangular matrices = $36!$ (Factorial 36).

Second stage:

Key size $k < 36$ again

We need to attack for key sides from 1 to 36.

So total number of attacks = $36! \left[\sum_{k=1}^{36} k! \right]$.

(b) Given the cipher text, use the second key to form the second matrix (write horizontally, read vertically). After reading horizontally, we get intermediate cipher text. Next, use the first key to form the rectangular matrix. For finding plain text corresponding to XY location, look at X-row and Y-column.

Q8.5. (a) Number of attacks needed $<$ number of vectors = $2n$

Q8.6 27!

Q8.7

$P = 29, Q = 61$

$N = PQ = 29 * 61 = 1769$

$Q(N) = (P-1)(Q-1) = 28 * 60 = 1680$

Let $E = 11$, as $11 * 611 - 4 * 1680 = 1$

Take $D = 611$

11 is the public key

611 is the private key.

(b) $R = 82, S = 83, A = 65$

$$822 = 1417 \pmod{1769}$$

$$824 = 74 \pmod{1769}$$

$$828 = 169 \pmod{1769}$$

$$823 = 1209 \pmod{1769}$$

$$\mathbf{8211 = 886 \pmod{1769}}$$

$$832 = 1582 \pmod{1769}$$

$$834 = 1358 \pmod{1769}$$

$$838 = 166 \pmod{1769}$$

$$833 = 1118 \pmod{1769}$$

$$\mathbf{8311 = 545 \pmod{1769}}$$

$$652 = 687 \pmod{1769}$$

$$654 = 1415 \pmod{1769}$$

$$658 = 1480 \pmod{1769}$$

$$\mathbf{6511 = 371 \pmod{1769}}$$

Therefore RSA is encoded as $\{886, 545, 371\}$.

(c) $P = 37, Q = 67$

$$N = PQ = 37 * 67 = 2479$$

$$Q(N) = (P-1)(Q-1) = 36 * 66 = 2376$$

$$E = 5$$

$$D = 1901$$

Public key = 5

Private key = 1901

Q8.8 Hint: Find prime numbers below 1090 and 10100 and subtract from the later.

Q8.9

(i) Each pair should have different keys so total number of distinct keys

$$= {}^N C_2 = \binom{N}{2} = \frac{N(N-1)}{2}.$$

(ii) There is 1 public key and N private keys.

Q8.10 1/3

Q8.11 (i) Total number of subblocks = $n = p^2 / q^2$

It requires $n!$ brute force attacks.

(ii) Key size = $\log_2 n!$